CONTRACTOR PRE-QUALIFICATION STATEMENT

It is the policy of Case Western Reserve University (CWRU) to pre-qualify our contractors. Your pre-qualification status must be updated annually. Please direct any questions to Construction Services.

Company Information & Contacts

Full Legal Name of Firm: ______________________________________________________________
Doing business as (DBA): ______________________________________________________________
Other affiliated companies: ____________________________________________________________

Please list the number of years your company has been in business as a Contractor. ________ years
Please list the number of years your company has been in business under its present business name. ________ years
If your company has done business under other names, please list them below:
____________________________________________________________________________________
____________________________________________________________________________________

Company Type

☐ Sole Proprietorship

Partnership
☐ General Partnership
☐ Limited Partnership

Corporation
☐ C-Corporation
☐ S-Corporation
☐ Limited Liability Corporation (LLC)

Date of Incorporation: ______________________ State of Incorporation: ______________________
Dunn & Bradstreet Number: ______________________________________________________________

Contact Information

Physical Address: _______________________________________________________________________
Mailing Address: _______________________________________________________________________
Telephone: __________________________ Fax: __________________________
Website: ______________________________________________________________________________

Primary Contact(s): Name/e-mail
____________________________________________________________________________________
                                                                                           
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<table>
<thead>
<tr>
<th>Officers (list all % of ownership)</th>
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List the jurisdiction(s) where your organization’s partnership/trade name is filed.
________________________________________________________________________________________
________________________________________________________________________________________
________________________________________________________________________________________

Authorized Signers

<table>
<thead>
<tr>
<th>Authorized Signers</th>
<th>Signatory Amount</th>
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<tr>
<td></td>
<td>$________</td>
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**Contract Information**

Total Contract Volume

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
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<tbody>
<tr>
<td>2006</td>
<td>$____</td>
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<tr>
<td>2005</td>
<td>$____</td>
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<tr>
<td>2004</td>
<td>$____</td>
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<td>2003</td>
<td>$____</td>
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Largest Single Contract Amount

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
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<tbody>
<tr>
<td>2006</td>
<td>$____</td>
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<td>2005</td>
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<tr>
<td>2004</td>
<td>$____</td>
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<td>2003</td>
<td>$____</td>
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Current Total Backlog

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
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<tbody>
<tr>
<td>2007</td>
<td>$____</td>
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</tbody>
</table>

Work in Progress

Total worth of work in progress/under contract: $____

Below, list current major works in progress. If more than 4 projects, please list on a separate sheet.

Project Name: ____________________________________________
Owner: ____________________________________________________
Architect: ________________________________________________
Contract Amount: $________
Percent Complete: ________ %
Scheduled Completion Date: ________________________________

Project Name: ____________________________________________
Owner: ____________________________________________________
Architect: ________________________________________________
Contract Amount: $________
Percent Complete: ________ %
Scheduled Completion Date: ________________________________

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Project Name: ________________________________________________________________
Owner: ______________________________________________________________________
Architect: _______________________________________________________________________
Contract Amount: ________________________________________________________________
Percent Complete: _______ %
Scheduled Completion Date: _________________________________

Project Name: ________________________________________________________________
Owner: ______________________________________________________________________
Architect: _______________________________________________________________________
Contract Amount: ________________________________________________________________
Percent Complete: _______ %
Scheduled Completion Date: _________________________________

Please list separately the construction experience and present commitments of key individuals of your organization.

Supplier Diversity Information

Please check all that apply and attach certifications*:

County, City, State and Transportation Departments
☐ DBE Disadvantaged Business Enterprise*
☐ MBE Minority Business Enterprise*
☐ WBE Women Business Enterprise*
☐ SBE Small Business Enterprise*

Federal Contracts (DoD) / Small Business Administration
(Small Disadvantaged Businesses and HubZone Businesses must be certified by the SBA and registered in the CCR /Pro-Net system. For more information visit www.ccr.gov)
☐ HBCU/MI Historically Black Colleges Universities / Minority Institutions*
☐ HUBZone Historically Underutilized Business Zone*
☐ LB Large Business
☐ LOSB Locally Owned Small Business*
☐ SB Small Business*
☐ SBA 8(a) Small Business Administration 8(a)*
☐ SDB Small Disadvantaged Business*
☐ SD-VOSB Service-Disabled Veteran Owned Small Business*
☐ VOSB Veteran Owned Small Business*
☐ WOSB Women Owned Small Business*
☐ Other Please Specify _________________________________

Minority Ownership
☐ Black American*
☐ Hispanic American*
☐ Native American (includes American Indian, Eskimo, Aleut & Native Hawaiian)*
☐ Asian/Indian American (includes India, Pakistan, Bangladesh)*
Company Financial & Legal Information

Bonding
Surety Company: ____________________________________________________________
Broker: ___________________________________________________________________
Contact Person: ___________________ Phone: _________________________________
Single Project Bonding Limit: ______________________________________________
Annual Aggregate Limit: __________________________________________________

Financial
Bank: _____________________________________________________________________
Address: ___________________________________________________________________
Contact Person: ___________________ Phone: _________________________________
Line of credit: $ __________________ Unused portion: $ _______________________
Expiration date: __________

Legal*
List all pending, current, and past litigation over the previous 10 years. If necessary, attach a separate sheet.
__________________________________________________________________________
__________________________________________________________________________
__________________________________________________________________________
__________________________________________________________________________
__________________________________________________________________________
__________________________________________________________________________
Contractor Specific Information

Safety
List Experience Modification Rate for past 4 years:

Procurement Experience
% General Contractor Hard Bid Stipulated Lump Sum
% General Contractor Hard Bid with GMAX
% Construction Manager (agent)
% Construction Manager at Risk
% Design Build
% Job Order Contracting or Program Management

How many projects as a % require preconstruction services?

% of repeat clients?

Project Experience
What type of work do you do? (Check all that apply)
☐ General Contractor
☐ Specialty Contractor
☐ Other. Specify _________________________________________________________________________

List the types of work which your company typically self-performs.
________________________________________________________________________________________
________________________________________________________________________________________
________________________________________________________________________________________

What types of projects would you consider your area(s) of expertise?
________________________________________________________________________________________
________________________________________________________________________________________
________________________________________________________________________________________
________________________________________________________________________________________
## References

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Address/Telephone</th>
<th>Contact</th>
<th>Year of last project</th>
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List three General Contractors (if a subcontractor) or Owners you do business with:

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<thead>
<tr>
<th>Company Name</th>
<th>Address/Telephone</th>
<th>Contact</th>
<th>Project</th>
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This form was prepared by: _________________________________  Title _________________

Date completed: _____________________________

Attach the following:
- Surety letter stating single current project and aggregate project limit for which you can currently bond (bonding capacity).
- If you will be proposing on contracts in excess of $1M you must submit a copy of your latest (consolidated) audited financial statements. Please be assured your financial information will be kept confidential. If a current copy is on file do not submit a duplicate.