RESEARCH ADMINISTRATION COMMONLY REQUESTED INFORMATION

The following information is provided to assist you in completing application cover sheets and budgets. For additional information, contact the Office of Sponsored Projects Administration at 216-368-4510 or resadm@case.edu.

Revised March 29, 2016

Applicant Name & Address
All Schools and Colleges, except Medicine
Case Western Reserve University
10900 Euclid Avenue
Cleveland, OH 44106-7015

School of Medicine Only
Case Western Reserve University
10900 Euclid Avenue
Cleveland, OH 44106-4919

Assurance / Compliance Registration Numbers

<table>
<thead>
<tr>
<th>Type</th>
<th>Assurance #</th>
<th>IRB Reg. #</th>
<th>Approved</th>
<th>Expires</th>
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</thead>
<tbody>
<tr>
<td>Animal</td>
<td>A3145-01</td>
<td>n/a</td>
<td>04/08/15</td>
<td>4/30/19</td>
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<tr>
<td>Misconduct</td>
<td>n/a</td>
<td>n/a</td>
<td>03/01/15</td>
<td>4/30/16</td>
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<td>Human Subjects</td>
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<td>IRB00000683</td>
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<td>UHCMC IRB*</td>
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<td>IRB00001691</td>
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<td>VA IRB</td>
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<td>CCF IRB*</td>
<td>IRB00000536</td>
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</table>

*For some proposals, you may need to use one institution’s FWA # with another institution’s IRB #: e.g., a grant awarded to CWRU, for research conducted at UH, would use CWRU’s FWA #, but UH’s IRB #.

Authorized Institutional Representatives

Leave blank on applications! Signatories’ availability varies day to day.

Focus                      | Staff Member          | Title               | Office |
---------------------------|-----------------------|---------------------|--------|
General                    | Diane Domanovics*     | Assistant VP for    | ORA    |
                           |                       | Research            |        |
All but SOM                | Joy Dismukes**        | Asst. Director      | OSPA   |
                           | Karen Dunn**          | Asst. Director      | OSPA   |
                           | Robin L. Bissell***  | Director            | SOM    |
                           | Kimberly Volarick**** | Exec. Director      | ORC    |

*Authorized to sign the above documents and contracts for CWRU.
**Authorized to sign proposals and certifications & accept awards for CWRU.
***Authorized to sign all of the above, for Medical School.
****Authorized to sign compliance documents for CWRU.

CWRU Characteristics

For current statistics about enrollment, faculty, staff, budget, etc., go to https://www.case.edu/ir/.

Important Numbers & Dates

CAGE (Commercial and Government Entity) Code 48566
CAS Certification Date 8/10/1996
CEC (Contractor Establishment Code) 07-876-650E
DUNS (Data Universal Numbering System) 07-775-8407
Federal Indirect Cost Rate Agreement Approval Date 03/20/2015

(Fee rates below)

FICE (Federal Interagency Committee on Education) 0003024
IPF (Institutional Profile Number) 0216801
IRS TIN (Taxpayer ID Number) / EIN, dated 7/1/67 34-1018992
IRS Determination Letter 6/29/2015
NAICS (North American Industry Classification System) 611310
NSF (National Science Foundation) ID (CWRU) 0001024000
NSF (National Science Foundation) ID (SOM) 0001024010
OH Dept. of Education IRN (Information Retrieval #) 063628
PHS Entity Identification Number 1341018992A1

Overhead Rates – Research*

Also referred to as Indirect Cost Rates (F & A)

<table>
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<th>7/1/13 - 6/30/16</th>
<th>7/1/08 - 6/30/13</th>
<th>7/1/05 - 6/30/08</th>
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<td>On Campus</td>
<td>58.5%</td>
<td>57.0%</td>
<td>54.5%</td>
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<td>CCLCM</td>
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</tr>
<tr>
<td>UH</td>
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<td>57.0%</td>
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</tr>
<tr>
<td>Off Campus</td>
<td>26.0%</td>
<td>26.0%</td>
<td>26.0%</td>
</tr>
</tbody>
</table>

*The most recent rate agreement was approved on March 20, 2015. Unless otherwise noted in the sponsor guidelines, indirect costs are in addition to total direct costs and calculated based on Modified Total Direct Costs (MTDC). MTDC equals total direct costs less the following exclusions: capital expenditures; any amount over the first $25,000 of each subcontract (aka, subrecipient agreement) issued by CWRU; hospitalization and other fees associated with patient care whether the services are obtained from an owned, related or third party hospital or other medical facility; rental/maintenance of off-site activities; student tuition remission and student support costs (e.g., student aid, dependency allowances, scholarships, fellowships).

Overhead Rates - Training

All locations are 8%.

General rule: Basis is Total Direct Cost (TDC) less tuition, fees and equipment. However, exclusions may vary among sponsors. Check specific sponsor guidelines.

Fringe Rate for all locations

(excluding 1410’s, 1225’s, and 1226’s effective 7/1/78)

FY16 (July 1, ’15-June 30, ’16) Federal - 27.0%  Non-Federal - 30.5%
FY15 (July 1, ’14-June 30, ’15) Federal - 28.5%  Non-Federal - 31.5%
FY14 (July 1, ’13-June 30, ’14) Federal - 28.0%  Non-Federal - 31.5%
FY13 (July 1, ’12-June 30, ’13) Federal - 27.25% Non-Federal - 30.0%
FY12 (July 1, ’11-June 30, ’12) Federal - 29.5%  Non-Federal - 30.5%

Ohio Office of Budget & Management

30 East Broad Street, 34th Floor
Columbus, Ohio 43215-3457
614-466-4034 (phone)

Districts
Congressional: 11th
State: 10th (House) & 21st (Senate)

Cognizant Federal Agency
Department of Health & Human Services (DHHS)
uyen Tran, HHS Representative
214-767-3261 (phone), 214-767-3264 (fax)

Ohio Board of Regents
Harry Andrist
Director, Research & Graduate Programs
614-752-9539 (phone)