

A different proof of Junge's estimate of the isotropy constant of polytopes

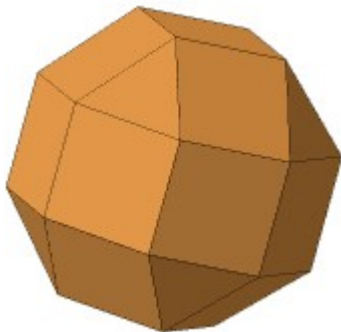
David Alonso Gutiérrez

Universidad de Zaragoza
Fields Institute

August 2010

Convex bodies

- A subset $K \subset \mathbb{R}^n$ is said to be a convex body if it is convex, compact and has non-empty interior.



The slicing problem

A convex body K is called isotropic if its volume equals 1, $|K| = 1$, and

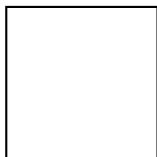
- $\int_K x dx = 0$ (center of mass at 0)
- $\int_K \langle x, \theta \rangle^2 dx = L_K^2 \quad \forall \theta \in S^{n-1}$

The slicing problem

A convex body K is called isotropic if its volume equals 1, $|K| = 1$, and

- $\int_K x dx = 0$ (center of mass at 0)
- $\int_K \langle x, \theta \rangle^2 dx = L_K^2 \quad \forall \theta \in S^{n-1}$

It is, given a convex body K with volume 1, we consider for each $\theta \in S^{n-1}$ the random variable X_θ with density $f_\theta(t) = |K \cap \theta^\perp + t\theta|$.

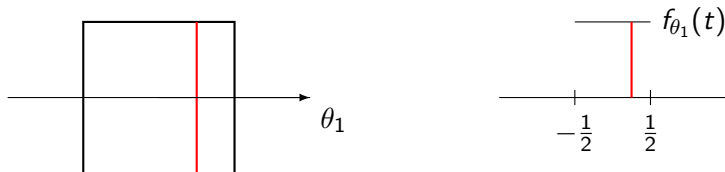


The slicing problem

A convex body K is called isotropic if its volume equals 1, $|K| = 1$, and

- $\int_K x dx = 0$ (center of mass at 0)
- $\int_K \langle x, \theta \rangle^2 dx = L_K^2 \quad \forall \theta \in S^{n-1}$

It is, given a convex body K with volume 1, we consider for each $\theta \in S^{n-1}$ the random variable X_θ with density $f_\theta(t) = |K \cap \theta^\perp + t\theta|$.

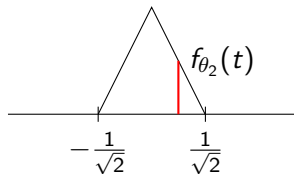
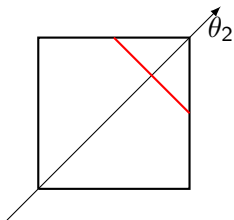


The slicing problem

A convex body K is called isotropic if its volume equals 1, $|K| = 1$, and

- $\int_K x dx = 0$ (center of mass at 0)
- $\int_K \langle x, \theta \rangle^2 dx = L_K^2 \quad \forall \theta \in S^{n-1}$

It is, given a convex body K with volume 1, we consider for each $\theta \in S^{n-1}$ the random variable X_θ with density $f_\theta(t) = |K \cap \theta^\perp + t\theta|$.

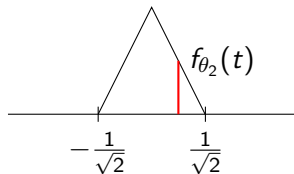
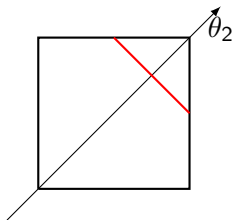


The slicing problem

A convex body K is called isotropic if its volume equals 1, $|K| = 1$, and

- $\int_K x dx = 0$ (center of mass at 0)
- $\int_K \langle x, \theta \rangle^2 dx = L_K^2 \quad \forall \theta \in S^{n-1}$

It is, given a convex body K with volume 1, we consider for each $\theta \in S^{n-1}$ the random variable X_θ with density $f_\theta(t) = |K \cap \theta^\perp + t\theta|$.



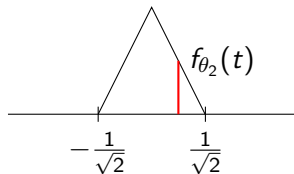
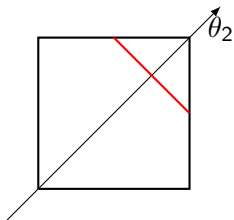
K is isotropic if all the X_θ have mean 0 and the same variance.

The slicing problem

A convex body K is called isotropic if its volume equals 1, $|K| = 1$, and

- $\int_K x dx = 0$ (center of mass at 0)
- $\int_K \langle x, \theta \rangle^2 dx = L_K^2 \quad \forall \theta \in S^{n-1} \Leftrightarrow \int_K \langle x, T\theta \rangle dx = L_K^2 \operatorname{tr} T \quad \forall T$

It is, given a convex body K with volume 1, we consider for each $\theta \in S^{n-1}$ the random variable X_θ with density $f_\theta(t) = |K \cap \theta^\perp + t\theta|$.



K is isotropic if all the X_θ have mean 0 and the same variance.

The slicing problem

For any convex body K , there exists a unique (up to orthogonal transformations) affine image $K_1 = a + TK$ which is isotropic.

The slicing problem

For any convex body K , there exists a unique (up to orthogonal transformations) affine image $K_1 = a + TK$ which is isotropic.

It is the solution of the following minimization problem

$$\int_{K_1} |x|^2 dx = \min_{\substack{T \in GL(n) \\ a \in \mathbb{R}^n}} \left\{ \frac{1}{|TK|^{1+\frac{2}{n}}} \int_{a+TK} |x|^2 dx \right\}$$

The slicing problem

For any convex body K , there exists a unique (up to orthogonal transformations) affine image $K_1 = a + TK$ which is isotropic.

It is the solution of the following minimization problem

$$nL_{K_1}^2 = \int_{K_1} |x|^2 dx = \min_{\substack{T \in GL(n) \\ a \in \mathbb{R}^n}} \left\{ \frac{1}{|TK|^{1+\frac{2}{n}}} \int_{a+TK} |x|^2 dx \right\}$$

The slicing problem

For any convex body K , there exists a unique (up to orthogonal transformations) affine image $K_1 = a + TK$ which is isotropic.

It is the solution of the following minimization problem

$$nL_{K_1}^2 = \int_{K_1} |x|^2 dx = \min_{\substack{T \in GL(n) \\ a \in \mathbb{R}^n}} \left\{ \frac{1}{|TK|^{1+\frac{2}{n}}} \int_{a+TK} |x|^2 dx \right\}$$

Hence, we can define the isotropy constant of any convex body by this expression:

$$nL_K^2 = \min_{\substack{T \in GL(n) \\ a \in \mathbb{R}^n}} \left\{ \frac{1}{|TK|^{1+\frac{2}{n}}} \int_{a+TK} |x|^2 dx \right\}$$

The slicing problem

$$L_K \geq L_{B_2^n} \geq c$$

The slicing problem

The hyperplane conjecture

There exists an absolute constant C such that for any convex body

$$L_K < C$$

The slicing problem

The hyperplane conjecture

There exists an absolute constant C such that for any convex body

$$L_K < C$$



The hyperplane conjecture

There exists an absolute constant c such that for any convex body of volume 1, there is a hyperplane H such that

$$|K \cap H| > c$$

The slicing problem

It is known that the hyperplane conjecture is true for several classes of convex bodies (1-unconditional, zonoids, duals of zonoids, 2-convex...)

The slicing problem

It is known that the hyperplane conjecture is true for several classes of convex bodies (1-unconditional, zonoids, duals of zonoids, 2-convex...)

If $K \subset \mathbb{R}^n$ is a convex body

$$L_K < cn^{\frac{1}{4}} \text{ (Klartag 2006)}$$

The slicing problem

It is known that the hyperplane conjecture is true for several classes of convex bodies (1-unconditional, zonoids, duals of zonoids, 2-convex...)

If $K \subset \mathbb{R}^n$ is a convex body

$$L_K < cn^{\frac{1}{4}} \text{ (Klartag 2006)}$$

$$L_K < cn^{\frac{1}{4}} \log n \text{ (Bourgain 1991)}$$

The slicing problem

It is known that the hyperplane conjecture is true for several classes of convex bodies (1-unconditional, zonoids, duals of zonoids, 2-convex...)

If $K \subset \mathbb{R}^n$ is a convex body

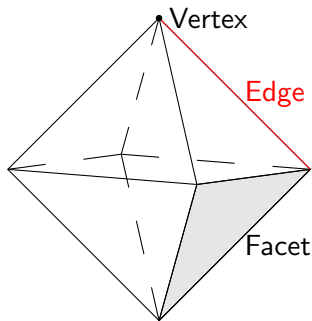
$$L_K < cn^{\frac{1}{4}} \text{ (Klartag 2006)}$$

$$L_K < cn^{\frac{1}{4}} \log n \text{ (Bourgain 1991)}$$

Since any convex body can be approximated by polytopes the hyperplane conjecture is true if it is so for polytopes.

The slicing problem for polytopes

A polytope K is the convex hull of a finite number of points. $F \subset K$ is called a face if given $x, y \in K$, we have $\frac{x+y}{2} \in F \Rightarrow x, y \in F$.



The slicing problem for polytopes

If K is a centrally symmetric polytope with $2N$ vertices, $L_K < c \log N$
(Junge 1994)

The slicing problem for polytopes

If K is a centrally symmetric polytope with $2N$ vertices, $L_K < c \log N$
(Junge 1994)

E. Milman gave a different proof of this result in (2006)

The slicing problem for polytopes

If K is a centrally symmetric polytope with $2N$ vertices, $L_K < c \log N$
(Junge 1994)

E. Milman gave a different proof of this result in (2006)

They need the symmetry of the polytope

The slicing problem for polytopes

Let K be a convex body of volume 1 and $\alpha \geq 1$. The norm ψ_α of a bounded measurable function $f : K \rightarrow \mathbb{R}$ is defined by

$$\|f\|_{\psi_\alpha} = \inf\{t > 0 : \int_K e\left(\frac{|f(x)|}{t}\right)^\alpha dx \leq 2\}$$

The slicing problem for polytopes

Let K be a convex body of volume 1 and $\alpha \geq 1$. The norm ψ_α of a bounded measurable function $f : K \rightarrow \mathbb{R}$ is defined by

$$\|f\|_{\psi_\alpha} = \inf\{t > 0 : \int_K e\left(\frac{|f(x)|}{t}\right)^\alpha dx \leq 2\}$$

If K is an isotropic convex body, using Borell's lemma, it can be proved that for any $\theta \in S^{n-1}$

$$\|\langle \cdot, \theta \rangle\|_{\psi_1} \leq CL_K$$

The slicing problem for polytopes

Let $\theta_1, \dots, \theta_N \in S^{n-1}$. If K is an isotropic convex body which satisfies the estimate

$$\|\langle \cdot, \theta \rangle\|_{\psi_\alpha} \leq BL_K$$

for all $\theta \in S^{n-1}$, then

$$\int_K \max_{i=1, \dots, N} |\langle x, \theta_i \rangle| dx \leq CBL_K (\log N)^{\frac{1}{\alpha}}$$

The slicing problem for polytopes

Let $\theta_1, \dots, \theta_N \in S^{n-1}$. If K is an isotropic convex body which satisfies the estimate

$$\|\langle \cdot, \theta \rangle\|_{\psi_\alpha} \leq BL_K$$

for all $\theta \in S^{n-1}$, then

$$\int_K \max_{i=1, \dots, N} |\langle x, \theta_i \rangle| dx \leq CBL_K (\log N)^{\frac{1}{\alpha}}$$

Hence, if K is isotropic,

$$\int_K \max_{i=1, \dots, N} |\langle x, \theta_i \rangle| dx \leq CL_K \log N$$

The slicing problem for polytopes

Proof of Junge's estimate:

Let K be an isotropic polytope with vertices P_1, \dots, P_N . For any symmetric positive definite T

$$L_K^2 = \frac{1}{\text{tr} T} \int_K \langle x, Tx \rangle dx$$

The slicing problem for polytopes

Proof of Junge's estimate:

Let K be an isotropic polytope with vertices P_1, \dots, P_N . For any symmetric positive definite T

$$L_K^2 = \frac{1}{\text{tr} T} \int_K \langle x, Tx \rangle dx = \frac{1}{\text{tr} T} \int_K |\langle x, Tx \rangle| dx$$

The slicing problem for polytopes

Proof of Junge's estimate:

Let K be an isotropic polytope with vertices P_1, \dots, P_N . For any symmetric positive definite T

$$L_K^2 = \frac{1}{\text{tr} T} \int_K \langle x, Tx \rangle dx = \frac{1}{\text{tr} T} \int_K |\langle x, Tx \rangle| dx \leq \frac{1}{\text{tr} T} \int_K \sup_{y \in K} |\langle x, Ty \rangle| dx$$

The slicing problem for polytopes

Proof of Junge's estimate:

Let K be an isotropic polytope with vertices P_1, \dots, P_N . For any symmetric positive definite T

$$\begin{aligned} L_K^2 &= \frac{1}{\operatorname{tr} T} \int_K \langle x, Tx \rangle dx = \frac{1}{\operatorname{tr} T} \int_K |\langle x, Tx \rangle| dx \leq \frac{1}{\operatorname{tr} T} \int_K \sup_{y \in K} |\langle x, Ty \rangle| dx \\ &= \frac{1}{\operatorname{tr} T} \int_K \sup_{i=1, \dots, N} |\langle x, TP_i \rangle| dx \end{aligned}$$

The slicing problem for polytopes

Proof of Junge's estimate:

Let K be an isotropic polytope with vertices P_1, \dots, P_N . For any symmetric positive definite T

$$\begin{aligned} L_K^2 &= \frac{1}{\operatorname{tr} T} \int_K \langle x, Tx \rangle dx = \frac{1}{\operatorname{tr} T} \int_K |\langle x, Tx \rangle| dx \leq \frac{1}{\operatorname{tr} T} \int_K \sup_{y \in K} |\langle x, Ty \rangle| dx \\ &= \frac{1}{\operatorname{tr} T} \int_K \sup_{i=1, \dots, N} |\langle x, TP_i \rangle| dx \\ &\leq \frac{\sup_{i=1, \dots, N} |TP_i|}{\operatorname{tr} T} \int_K \sup_{i=1, \dots, N} \left| \langle x, \frac{TP_i}{|TP_i|} \rangle \right| dx \end{aligned}$$

The slicing problem for polytopes

Proof of Junge's estimate:

Let K be an isotropic polytope with vertices P_1, \dots, P_N . For any symmetric positive definite T

$$\begin{aligned} L_K^2 &= \frac{1}{\operatorname{tr} T} \int_K \langle x, Tx \rangle dx = \frac{1}{\operatorname{tr} T} \int_K |\langle x, Tx \rangle| dx \leq \frac{1}{\operatorname{tr} T} \int_K \sup_{y \in K} |\langle x, Ty \rangle| dx \\ &= \frac{1}{\operatorname{tr} T} \int_K \sup_{i=1, \dots, N} |\langle x, TP_i \rangle| dx \\ &\leq \frac{\sup_{i=1, \dots, N} |TP_i|}{\operatorname{tr} T} \int_K \sup_{i=1, \dots, N} \left| \langle x, \frac{TP_i}{|TP_i|} \rangle \right| dx \\ &\leq \frac{CL_K \log N \sup_{i=1, \dots, N} |TP_i|}{\operatorname{tr} T} \end{aligned}$$

The slicing problem for polytopes

Proof of Junge's estimate:

Let K be an isotropic polytope with vertices P_1, \dots, P_N . For any symmetric positive definite T

$$\begin{aligned} L_K^2 &= \frac{1}{\operatorname{tr} T} \int_K \langle x, Tx \rangle dx = \frac{1}{\operatorname{tr} T} \int_K |\langle x, Tx \rangle| dx \leq \frac{1}{\operatorname{tr} T} \int_K \sup_{y \in K} |\langle x, Ty \rangle| dx \\ &= \frac{1}{\operatorname{tr} T} \int_K \sup_{i=1, \dots, N} |\langle x, TP_i \rangle| dx \\ &\leq \frac{\sup_{i=1, \dots, N} |TP_i|}{\operatorname{tr} T} \int_K \sup_{i=1, \dots, N} \left| \langle x, \frac{TP_i}{|TP_i|} \rangle \right| dx \\ &\leq \frac{CL_K \log N \sup_{i=1, \dots, N} |TP_i|}{\operatorname{tr} T} \leq \frac{CL_K \log N \sup_{i=1, \dots, N} |TP_i|}{n |TK|^{\frac{1}{n}}} \end{aligned}$$

The slicing problem for polytopes

$$L_K \leq \frac{C \log N \sup_{i=1, \dots, N} |TP_i|}{n |TK|^{\frac{1}{n}}}$$

There exists an affine map $a + T$ such that $a + TK$ is in Lowner's position (The minimum volume ellipsoid containing K is the Euclidean ball).

The slicing problem for polytopes

$$L_K \leq \frac{C \log N \sup_{i=1, \dots, N} |TP_i|}{n |TK|^{\frac{1}{n}}}$$

There exists an affine map $a + T$ such that $a + TK$ is in Lowner's position (The minimum volume ellipsoid containing K is the Euclidean ball).

Taking this T :

- $|TP_i| \leq |a + TP_i| + |a| \leq 1 + 1 = 2$
- $|TK|^{\frac{1}{n}} \geq \frac{c}{n}$

The slicing problem for polytopes

$$L_K \leq \frac{C \log N \sup_{i=1, \dots, N} |TP_i|}{n |TK|^{\frac{1}{n}}}$$

There exists an affine map $a + T$ such that $a + TK$ is in Lowner's position (The minimum volume ellipsoid containing K is the Euclidean ball).

Taking this T :

- $|TP_i| \leq |a + TP_i| + |a| \leq 1 + 1 = 2$
- $|TK|^{\frac{1}{n}} \geq \frac{c}{n}$

and consequently

$$L_K \leq C \log N$$

The slicing problem for polytopes

Theorem (D.A, J. Bastero, J. Bernués, P. Wolff)

Let $K \subseteq \mathbb{R}^n$ be a polytope with N vertices. Then

$$L_K \leq C \sqrt{\frac{N}{n}}$$

The slicing problem for polytopes

Theorem (D.A. J. Bastero, J. Bernués, P. Wolff)

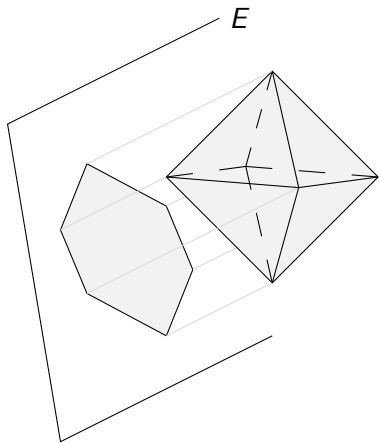
Let $K \subseteq \mathbb{R}^n$ be a polytope with N vertices. Then

$$L_K \leq C \sqrt{\frac{N}{n}}$$

This estimate is better when the number of vertices is small and worse if the number of vertices is big.

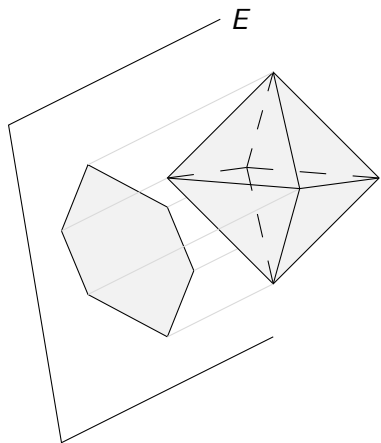
The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



The slicing problem for polytopes

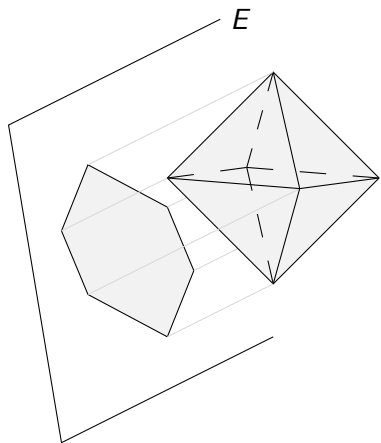
Sketch of the proof (K symmetric with $2N$ vertices)



$$nL_K^2 \leq \frac{1}{|P_{EB_1^N}|^{\frac{2}{n}}} \frac{1}{|P_{EB_1^N}|} \int_{P_{EB_1^N}} |x|^2 dx$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



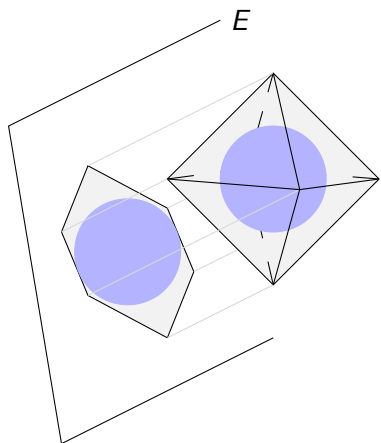
$$nL_K^2 \leq \frac{1}{|P_{EB_1^N}|^{\frac{2}{n}}} \frac{1}{|P_{EB_1^N}|} \int_{P_{EB_1^N}} |x|^2 dx$$

$$|P_{EB_1^N}|^{\frac{2}{n}} \geq$$

$$\frac{1}{|P_{EB_1^N}|} \int_{P_{EB_1^N}} |x|^2 dx \leq$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



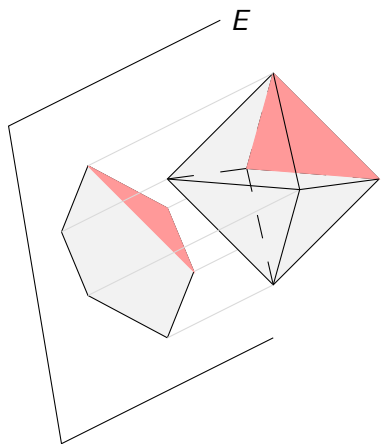
$$nL_K^2 \leq \frac{1}{|P_E B_1^N|^{\frac{2}{n}}} \frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx$$

$$|P_E B_1^N|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx \leq$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



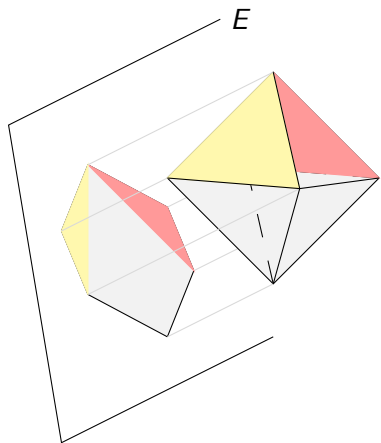
$$nL_K^2 \leq \frac{1}{|P_{EB_1^N}|^{\frac{2}{n}}} \frac{1}{|P_{EB_1^N}|} \int_{P_{EB_1^N}} |x|^2 dx$$

$$|P_{EB_1^N}|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_{EB_1^N}|} \int_{P_{EB_1^N}} |x|^2 dx \leq$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



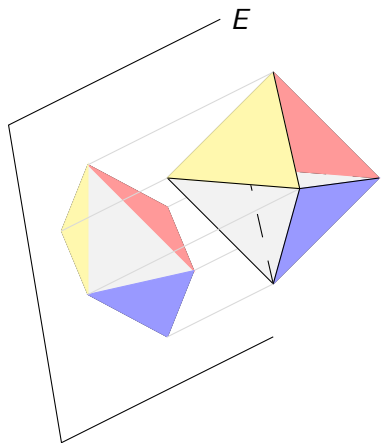
$$nL_K^2 \leq \frac{1}{|P_E B_1^N|^{\frac{2}{n}}} \frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx$$

$$|P_E B_1^N|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx \leq$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



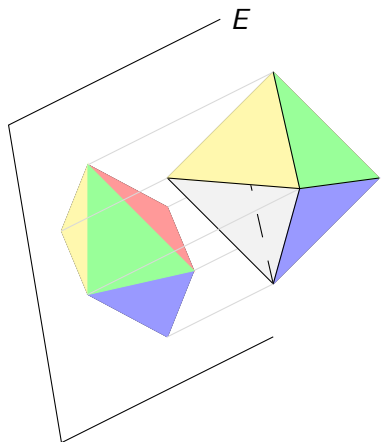
$$nL_K^2 \leq \frac{1}{|P_{EB_1^N}|^{\frac{2}{n}}} \frac{1}{|P_{EB_1^N}|} \int_{P_{EB_1^N}} |x|^2 dx$$

$$|P_{EB_1^N}|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_{EB_1^N}|} \int_{P_{EB_1^N}} |x|^2 dx \leq$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



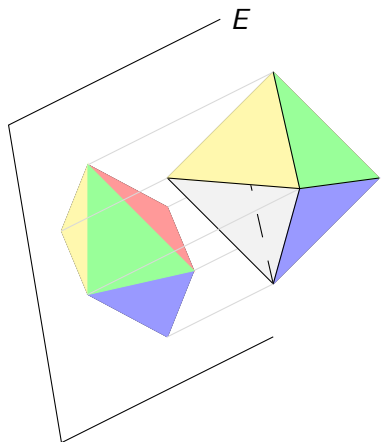
$$nL_K^2 \leq \frac{1}{|P_E B_1^N|^{\frac{2}{n}}} \frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx$$

$$|P_E B_1^N|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx \leq$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



$$nL_K^2 \leq \frac{1}{|P_E B_1^N|^{\frac{2}{n}}} \frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx$$

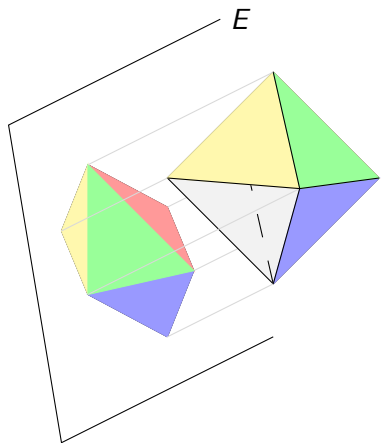
$$|P_E B_1^N|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx \leq$$

$$\max_{F \in \mathcal{F}_n(B_1^N)} \frac{1}{|P_{EF}|} \int_{P_{EF}} |x|^2 dx$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



$$nL_K^2 \leq \frac{1}{|P_E B_1^N|^{\frac{2}{n}}} \frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx$$

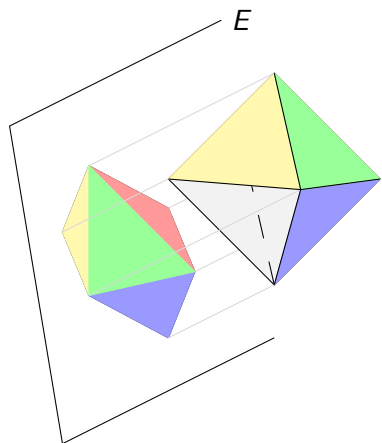
$$|P_E B_1^N|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx \leq$$

$$\max_{F \in \mathcal{F}_n(B_1^N)} \frac{1}{|F|} \int_F |P_E x|^2 dx$$

The slicing problem for polytopes

Sketch of the proof (K symmetric with $2N$ vertices)



$$nL_K^2 \leq \frac{1}{|P_E B_1^N|^{\frac{2}{n}}} \frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx$$

$$|P_E B_1^N|^{\frac{2}{n}} \geq \frac{c}{Nn}$$

$$\frac{1}{|P_E B_1^N|} \int_{P_E B_1^N} |x|^2 dx \leq$$

$$\max_{F \in \mathcal{F}_n(B_1^N)} \frac{1}{|F|} \int_F |x|^2 dx = \frac{2}{n+2}$$

The slicing problem for polytopes

Theorem

Let $K \subset \mathbb{R}^n$ be a polytope with N facets. Then

$$L_K \leq C \sqrt{\log \frac{N}{n}}$$

The slicing problem for polytopes

Theorem

Let $K \subset \mathbb{R}^n$ be a polytope with N facets. Then

$$L_K \leq C \sqrt{\log \frac{N}{n}}$$

Proof:

If K is symmetric and in John's position

- $|K|^{\frac{1}{n}} \geq \frac{c}{\sqrt{1 + \log \frac{N}{n}}}$ (Ball, Pajor)

The slicing problem for polytopes

Theorem

Let $K \subset \mathbb{R}^n$ be a polytope with N facets. Then

$$L_K \leq C \sqrt{\log \frac{N}{n}}$$

Proof:

If K is symmetric and in John's position

- $|K|^{\frac{1}{n}} \geq \frac{c}{\sqrt{1 + \log \frac{N}{n}}}$ (Ball, Pajor)
- $\frac{1}{|K|} \int_K |x|^2 dx \leq n$ because $R(K) \leq \sqrt{n}$

The slicing problem for polytopes

Theorem

Let $K \subset \mathbb{R}^n$ be a polytope with N facets. Then

$$L_K \leq C \sqrt{\log \frac{N}{n}}$$

Proof:

If K is symmetric and in John's position

- $|K|^{\frac{1}{n}} \geq \frac{c}{\sqrt{1+\log \frac{N}{n}}}$ (Ball, Pajor)
- $\frac{1}{|K|} \int_K |x|^2 dx \leq n$ because $R(K) \leq \sqrt{n}$

Consequently $nL_K^2 \leq \frac{1}{|K|^{\frac{2}{n}}} \frac{1}{|K|} \int_K |x|^2 dx \leq Cn \log \frac{N}{n}$

The slicing problem for polytopes

If K is not symmetric, instead of assuming it is in John's position, we assume that

- Its centroid is at 0
- $K \cap (-K)$ is in John's position

The slicing problem for polytopes

If K is not symmetric, instead of assuming it is in John's position, we assume that

- Its centroid is at 0
- $K \cap (-K)$ is in John's position

In this case we obtain the same estimates and $L_K \leq C \sqrt{\log \frac{N}{n}}$