

Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

[Template Revised July 2025]				
AGREEMENT made as of the	day of	in the year		ADDITIONS AND DELETIONS:
(In words, indicate day, month and	d year.)			The author of this document may
DETMEEN 1 0				nave revised the text of the original AIA standard form. An <i>Additions an</i>
BETWEEN the Owner:	.1			Deletions Report that notes
(Name, legal status, address and o	ther informa	tion)		revisions to the standard form text
Case Western Reserve University				available from the author and shoul be reviewed. A vertical line in the le
10900 Euclid Avenue»				margin of this document indicates
Cleveland, Ohio 44106-7228			,	where the author has added to or
210 · Cama, 2110 · · · · · · · · · · · · · · · · · ·			C	deleted from the original AIA text.
and the Contractor:				This document has important legal
(Name, legal status, address and o	ther informa	tion)		consequences. Consultation with ar attorney is encouraged with respect
				to its completion or modification.
				The parties should complete
			/	A101®–2017, Exhibit A, Insurance
				and Bonds, contemporaneously wit his Agreement. AIA Document
				A201®–2017, General Conditions of
			t	he Contract for Construction, is
for the following Project:	• .• \			adopted in this document by reference. Do not use with other
(Name, location and detailed descri	ripiion)			general conditions unless this
				document is modified.
				W/
CWRU Project No.:				
e wite freger ite.				
The Architect:				L
(Name, legal status, address and o	ther informa	tion)		
	-			
				\wedge
TI O	C 11			
The Owner and Contractor agree a	is follows.			_

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User Notes: (640e2aedf442e4498d0967f6)

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ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract, together with the performance bond and payment bond, if any, represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9. If anything in the other Contract Documents is inconsistent with this Agreement, the terms of this Agreement shall govern.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, or reasonably inferable from the Contract Documents as necessary to produce the results intended by the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others. The Contractor accepts the relationship of trust and confidence established by this Agreement and covenants with the Owner (1) to cooperate with the Owner, the Architect/Engineer, their employees and representatives and exercise the Contractor's skill and judgment in furthering the interests of the Owner; (2) to furnish efficient business administration and supervision; (3) to furnish at all times an adequate supply of workers and materials; and (4) to perform the Work in an expeditious and economical manner consistent with the Owner's interests. The Owner agrees to furnish and approve, in a timely manner, information required by the Contractor and to make payments to the Contractor in accordance with the requirements of the Contract Documents.

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§ 3.2 The Contract Time shall be measure	d from the date of commencement of	of the Work.
§ 3.2.1 If the Project is located in Ohio, the accordance with the provisions of Ohio R		in recording a Notice of Commencement in
§ 3.3 Substantial Completion § 3.3.1 Subject to adjustments of the Cont Substantial Completion of the entire Wor (Check one of the following boxes and co	·k:	ct Documents, the Contractor shall achieve
[] Not later than	() calendar days from the da	ate of commencement of the Work.
By the following date		
The Contractor acknowledges and recogn completed Work following expiration of Subject to adjustments of the Contract Ti	nizes that the Owner is entitled to ful the Contract Time, subject to the ter me as provided in the Contract Docu	s Work as periodically revised by the Owner. Il and beneficial use and occupancy of the rms and conditions of the Contract Documents. It portions of the Work are to be shall achieve Substantial Completion of such
Portion of Work	Substantial Completio	n Date
shall be assessed as set forth in Section 4.	.5.	n this Section 3.3, liquidated damages, if any,
§ 3.4 Time is of the essence as to the Con	tractor and its obligations in the Cor	niraci Documenis.
§ 4.1 The Owner shall pay the Contractor The Contract Sum shall be (\$		For the Contractor's performance of the Contract. Setions as provided in the Contract Documents.
§ 4.2 Alternates § 4.2.1 Alternates, if any, included in the	Contract Sum:	
Item	Price	
this Agreement. Upon acceptance, the Ov Contractor shall provide the Owner with alternates set forth in this Section must be	wner shall issue a Modification to the no less than fourteen (14) days' prior accepted by the Owner in order for his Section and without any adjustment	e accepted by the Owner following execution of is Agreement; provided however, that the r written notice of the date on which any of the r the Contractor to perform Work covered by ent to a milestone date or in the Contract Time. er to accept the alternate.)
Item	Price	Conditions for Acceptance
§ 4.3 Allowances, if any, included in the (Identify each allowance.)	Contract Sum:	_

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

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Item § 4.4 The all-inclusive unit prices, if any: (Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.) **Units and Limitations** ltem Price per Unit (\$0.00) § 4.5 Liquidated damages, if any: (Insert terms and conditions for liquidated damages, if any.) § 4.6 Other: (Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.)

Price

ARTICLE 5 PAYMENTS

§ 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment (including all supporting documentation) properly submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month.

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the first day of a month and the Architect certifies the application for payment in accordance with AIA A201-2017, Article 9, as modified by Owner and attached hereto as Exhibit B. The Owner shall make payment of the amount certified to the Contractor not later than the fifteenth day of the following month if the Owner also approves of the certified application for payment.. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than forty-five (45) days after the Architect certifies the Application for Payment and the Owner approves the same application.

(Federal, state or local laws may require payment within a certain period of time.)

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor, and approved by the Owner, in accordance with the Contract Documents and in detail as required by the Owner. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Owner may require. This schedule of values, when, and only when, approved in writing by the Owner, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.5.1 Contractor will submit with each Application for Payment the following:

- Purchase Order number on the Application for Payment, without which payment will not be issued; .1
- a lien waiver from Contractor, and if requested by Owner, from each Subcontractor, Sub-subcontractor, and material supplier to be paid from the current draw, which lien waiver(s) will cover all Work executed by such parties through the end of the second month preceding the month covered by the current Application for Payment (or conditional lien waivers for all Work through the end of the month for which disbursement is being
- .3 a current list of the Subcontractors involved in the Work;
- if requested by Owner, supporting data including invoices from Subcontractors and suppliers;

.5 copies of all notices of intent to file a lien served on Contractor prior to the date of such Application for Payment.

§ 5.1.5.2 Contractor will submit with the final Application for Payment the following:

- .1 MSDS sheets for all regulated materials and substances left on site (if applicable);
- .2 a completed W-9 for federal tax purposes;
- .3 such other information as Owner may reasonably request; and
- .4 a final unconditional lien waiver from Contractor, and if requested by Owner, final conditional or unconditional lien waivers from all Subcontractors, Sub-subcontractors, and material suppliers involved in the Work and which furnished labor or materials together with such other documentation as Owner may require (such as paid invoices) to evidence that the entire Work has been paid for.

To the extent final unconditional lien waivers are not requested by Owner from any or all Subcontractors, Sub-subcontractors, and material suppliers involved in the Work in conjunction with the final Application for Payment, Contractor agrees to provide such final unconditional lien waivers from any or all Subcontractors, Sub-subcontractors, and material suppliers involved in the Work within 21 days of Owner making the final payment to Contractor.

If any Subcontractor, Subcontractor of any tier, material supplier, laborer, or other person performing services or providing labor or materials under the Contractor files a lien claim against the Project site, and such lien claim does not result from the Owner's failure to make payment when due or other default by the Owner under this Agreement, then the Contractor shall settle or bond such lien claim within 25 days after Contractor acquires notice or knowledge of the lien. Owner, upon receipt of a notice that a lien may be claimed by any third party pertaining to the Work, has the right to make payment jointly on behalf of the Contractor or its subcontractor to such third party.

§ 5.1.6 In accordance with AIA Document A201TM_2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall first include:

- .1 That portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage as set forth in §5.1.7 below. Pending final determination of cost to the Owner of changes in the Work, amounts not in dispute shall be included as provided in Section 7.3.9 of AIA Document A201TM-2017, General Conditions of the Contract for Construction, as modified by Owner and attached hereto as Exhibit B;
- .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing, less retainage as set forth in §5.1.7 below; and
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.

§ 5.1.6.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201–2017;
- Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201–2017;
- The amount, if any, for any Work performed unless the Owner and/or Architect shall have received when due, and approved the following:
 - (i) Insurance Certificates
 - (ii) Payment & Performance Bonds, applicable only if Contract Sum is equal to or greater than \$500,000
 - (iii) Appropriate Waiver of liens from Contractor and all tier-subcontractors and suppliers;
- **.6** Retainage withheld pursuant to Section 5.1.7; and
- .7 The amounts, if any, being withheld by the Owner as provided in the Contract Documents.

§ 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)

10%

§ 5.1.7.1.1 The following items are not subject to retainage:

(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

Not Applicable

§ 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:

(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)

Not Applicable

§ 5.1.7.3 Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows: (Insert any other conditions for release of retainage upon Substantial Completion.)

Any reduction or release of retainage, or portion thereof, by Owner, shall not be a waiver of (a) any of the Owner's rights to retainage in connection with other payments to the Contractor or (b) any other right or remedy that the Owner has under the Contract Documents, at law or in equity. Owner may reinstate retainage at any time if Owner determines, in Owner's sole discretion, that Contractor is not performing Work in accordance with the Contract Documents. Owner shall be entitled to retain up to two hundred percent (200%) of the punch list as provided in Section 9.8.5 of the General Conditions.

- § 5.1.8 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.
- § 5.1.9 Except with the Owner's prior written approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site for subsequent incorporation in the Work. If the Owner gives prior written approval for offsite storage, the Contractor may make advance payments to suppliers if the Contractor provides the following:
 - provide the Owner with a certificate of insurance for full replacement and naming Owner as an additional (i)
 - provide a bill of sale (ii)
 - (iii) provide for onsite inspection by the Owner
 - provide and file the necessary UCC form with the Ohio Secretary of State naming the Owner as the secured (iv) party for the goods stored offsite

§ 5.2 Final Payment

- § 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when
 - the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A201–2017, as modified by Owner and attached hereto as Exhibit B, and to satisfy other requirements, if any, which extend beyond final payment; and
 - a final Certificate for Payment has been issued by the Architect.

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 45 days after the issuance of the Architect's

final Certificate for Payment, provided, however:

Final payment will be made to the Contractor only after occurrence of all of the events described below:

- .1 Acceptance of the Work by the Owner as fully performed under the Contract Documents.
- .2 Written assignment to the Owner by all Subcontractors and suppliers of material and equipment of all warranties and guarantees in the form provided by the Owner.
- .3 Delivery by the Contractor of three (3) copies of any maintenance manual issued by any manufacturer and/or supplier.
- .4 Delivery by the Contractor of the project record reproducible drawing redlined showing all changes.
- Delivery by the Contractor of conditional written releases of all liens and/or requests to file mechanics', material-men's and like liens against the Project, signed by each Subcontractor and material-man that performed labor or furnished materials in connection with the Work. If any Subcontractor or material or equipment supplier refuses to furnish a release or waiver, the Contractor shall furnish a bond satisfactory to the Owner to indemnify him against any such possible lien.
- .6 Delivery by the Contractor of all deliverables as specified in the Project Specifications and Contract Documents.
- .7 If required by the Owner, delivery by the Contractor of other data establishing payment or satisfaction of all such obligations.
- .8 The Owner's acceptance of the Work in full.
- .9 Receipt by Owner of all permits necessary for the operation and occupancy of the Project.
- .10 Receipt by Owner of all final lien releases.

No payment hereunder, no occupancy of the Project or any part thereof shall be construed as an acceptance of any Work or waiver of any rights of the Owner either in the Contract Documents or at law.

§ 5.3 Interest

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the lowest legal rate prevailing from the date of the agreement to the date of final payment in Cleveland, Ohio.

(Insert rate of interest agreed upon, if any.)

Key Bank's lowest Prime Rate for Cleveland, Ohio at any time from the date of the agreement until final payment

ARTICLE 6 DISPUTE RESOLUTION

§ 6.1 Binding Dispute Resolution

For any unresolved Claim, case, controversy, or dispute between the Owner and Contractor arising out of or related to this Agreement or breech thereof, remaining after an attempt to resolve the dispute by the parties through AIA A201-2017, Article 15.1 and 15.2, as modified by Owner, and attached hereto as Exhibit B, the method of binding dispute resolution shall be as follows:

(Check the appropriate box.)

[X] rigl	Arbitration pursuant to Section 15.3 of AIA Document A201–2017, as modified by Owner, subject to the ts reserved by Owner in subparagraph 15.3.3(g) thereof
[]	Litigation in a court of competent jurisdiction
[]	Other (Specify)

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017, as modified by Owner and attached hereto as Exhibit B.

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§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2017, as modified by the Owner and attached hereto as Exhibit B.

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ARILLES	MISCELL	ANFUUS	PRUVISIUNS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2017 as modified by Owner and attached hereto as Exhibit B or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner's representative:

(Name, address, email address, and other information)

Case Western Reserve University 10620 Cedar Avenue Cleveland, Ohio 44106-7228 Attn:

Email: Cell Phone:

§ 8.3 The Contractor's representative:

(Name, address, email address, and other information)

§ 8.4 In the absence of an emergency, neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

§ 8.5 Insurance and Bonds

§ 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in Article 11 of AIA Document A201–2017.

(State bonding requirements, if any, and limits of liability for insurance required in Article 11 of AIA Document A201–2017.).

Type of insurance or bond

See Sample Certificate of Liability Insurance dated 11/14/13, attached as Exhibit A.

Limit of liability or bond amount (\$ 0.00)

Bonds are required if Contract Sum is equal to or greater than \$500,000.

§ 8.6 Not used.

§ 8.7 Other provisions:

§ 8.7.1 Contractor must provide a site specific written safety plan prior to the commencement of the Work. Contractor must provide and obtain all waste manifests required for daily disposal of any hazardous material in connection with this project, and must submit daily original waste manifests to the Owner, Attn: CWRU Department of Environmental Health and Safety and provide a copy to the project manager.

§ 8.7.2 Contractor must comply with all safety regulations in A201-2017 Article 10, as modified by the Owner, and attached hereto as Exhibit B.

- § 8.7.3 The Contractor represents and warrants the following to the Owner (in addition to any other representations or warranties contained in the Contract Documents) as an inducement to the Owner to execute this Agreement, which representations and warranties shall survive the execution and delivery of this Agreement, any termination of this Agreement and the final completion of the Work:
 - 1 That Contractor and, to the best of its knowledge, its Subcontractors are financially solvent, able to pay all debts as they mature, and are sufficiently capitalized to complete the Work and perform all obligations hereunder;
 - .2 that Contractor is capable of furnishing the plant, tools, materials, supplies, equipment, and labor required to complete the Work and perform its obligations hereunder;
 - .3 that Contractor is authorized to do business in the State where the Project is located and is properly licensed by all necessary governmental and public and quasi-public authorities having jurisdiction over Contractor, the Work, and the Project;
 - 4 that Contractor's execution of this Agreement and its performance thereof is within its duly authorized powers;
 - .5 that Contractor's duly authorized representative has visited the site of the Project, is familiar with the local and special conditions under which the Work is to be performed, and has correlated on site observations with the requirements of the Contract Documents; and
 - that Contractor possesses a high level of experience and expertise in business administration, construction, construction management, and superintendence of projects of the size, complexity, and nature of this particular Project, and that Contractor will perform the Work with the care, skill, and diligence of such a contractor.

The foregoing warranties are in addition to, and not in lieu of, any and all other liability imposed upon the Contractor by law with respect to the Contractor's duties, obligations, and performance under the Contract Documents. The Contractor's liability under the Contract Documents shall survive the Owner's final acceptance of and payment for the Work. All representations and warranties set forth in this Agreement, including, without limitation, this Section 8.7.3, shall survive the final completion of the Work or the earlier termination of this Agreement. The Contractor acknowledges that the Owner is relying upon the Contractor's skill and experience in connection with the Work.

- **§ 8.7.4** All subcontracts shall specifically provide that the Owner is an intended third-party beneficiary of the subcontract agreement and shall require that:
 - .1 The Subcontractor maintain the insurance coverages required under Article 11 of AIA Document A201-2017;
 - .2 the Subcontractor comply with the arbitration provision set forth in Article 15 of AIA Document A201-2017, as modified, in the event of any claims, disputes or other matters in question involving the Subcontractor;
 - .3 the Subcontractor furnish to the Contractor in a timely fashion all information necessary for the preparation and submission of the reports required by the Contract Documents; and
 - .4 the Subcontractor continue to perform under its subcontract agreement in the event this Agreement is terminated and the Owner assumes the subcontract agreement.

All subcontracts between the Contractor and its Subcontractors and all subcontracts entered into by Subcontractors shall conform to the provisions of the Contract Documents, including, but not limited to, those referenced in Section 8.7.4.

Without limiting the generality of any other indemnity obligations in the Contract Documents, the Contractor shall indemnify and hold harmless the Owner from and against claims, damages, losses and expenses arising out of or resulting from the Contractor's failure to fulfill the requirements of this 8.7.4. In addition, the Owner will have the right to review all subcontracts and reject any which do not comply with the requirements of this Section 8.7.4.

ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 This Agreement is comprised of the following documents:

- .1 AIA Document A101TM_2017, Standard Form of Agreement Between Owner and Contractor, as modified herein.
- .2 AIA Document A201TM—2017, General Conditions of the Contract for Construction, as modified by Owner and attached hereto as Exhibit B.
- .3 Drawings
 Title of Drawings exhibit:
- .4 Specifications are those contained in the document listed below and drawings: Title of Specifications exhibit:

	Number	Date	Pages			
.6	Other Exhibits: (Check all boxes that apply and include appropriate information identifying the exhibit where required.) [Supplementary and other Conditions of the Contract are those contained in the Project Manual prepared by on, 2018, pages 1 thru:					
	Document	Title		Date	Pages	
.7	 Other documents, if any, listed below: (List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201™—2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.) EXHIBIT A – Sample Certificate of Liability Insurance dated 11/14/13 EXHIBIT B – AIA A201-2017, General Conditions of the Contract for Construction as modified by Owner, pages 1 thru 5 EXHIBIT D – Drawing Index, pages 1 thru EXHIBIT E – Pricing of Construction Contract Change Order Documentation, dated March 2011, pages 1 thru 5 EXHIBIT F – Lien Waivers, pages 1 thru 18 EXHIBIT G – Project Schedule, pages 1 thru EXHIBIT I – Campus Community Fire and Life Safety Line, pages 1 thru 5 EXHIBIT I – Campus Community Fire and Life Safety Line, pages 1 thru 5 EXHIBIT J – Procedures Regarding Impairments to Fire Protection Systems & Procedures for Establishment of a Fire Watch, pages 1 thru 5 					
This Agreeme		obacco-Free Policy dated 7/7/1	7, page 1 of 1			
Counsel Off	ulos, Sr. Vice President & ice of General Counsel	General	CTOR (Signature)	\wedge		
(Printed nar And by:	ne and title)	(Printed	name and title)			
Dean Tufts, V Campus Plant	rice President ning & Facilities Managen	nent	l			

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Addenda, if any:

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