

ANAT ALON-BECK
Assistant Professor
Case Western Reserve University School of Law
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EXPERIENCE

CASE WESTERN RESERVE UNIVERSITY SCHOOL OF LAW, CLEVELAND, OHIO

Assistant Professor, 2019 to Present

Courses *Business Associations; Contracts*

Leadership *Faculty Workshops Committee; Corporate Finance and Law Alliance Advisor; Leet Symposium Committee; Curriculum Committee; Appointments Committee*

FORBES MAGAZINE

Columnist, 2020 to Present

I write about corporate law, economics, finance, high-tech and unicorn firms

NYU SCHOOL OF LAW, JACOBSON LEADERSHIP PROGRAM IN LAW AND BUSINESS, NEW YORK, NY

Postdoctoral Research Fellow, 2017 to 2019

Engage in research and writing on law and business; provide teaching assistance to Professors Helen Scott and Karen Brenner in law and business courses including *Corporate Turnarounds, Corporate Governance, and Legal & Ethical Challenges in the Modern Corporation*

Leadership *Develop case studies for Grunin Center for Law and Social Entrepreneurship; American Business Law Journal, Peer Reviewer; Dean's Cup*

DICKINSON COLLEGE, INTERNATIONAL BUSINESS AND MANAGEMENT DEPARTMENT, CARLISLE, PA

Visiting Assistant Professor, 2014 to 2017

Courses *Fundamentals of Business; Legal Aspects to Entrepreneurship; Israel: Start-Up Nation; Artificial Intelligence; Where is the Next Silicon Valley?*

Leadership *First Year Seminar Academic Advisor; Mellon Civic Engagement Grant; Valley & Ridge Sustainability Grant; Media, Tech & Civic Engagement Faculty Seminar*

DICKINSON COLLEGE, INTERNATIONAL BUSINESS AND MANAGEMENT DEPARTMENT, CARLISLE, PA

Adjunct Professor, 2012 to 2014

Courses *Legal Aspects to Entrepreneurship*

Leadership *IC@D Annual Innovation Competition; Co-Founder, Eco-E Path Mosaic, which led to a certificate program in Social Innovation & Entrepreneurship*

EDUCATION

CORNELL LAW SCHOOL, ITHACA, NY

J.S.D., with honors, January 2015

Dissertation *The "Coalition Model"*

Committee *Professors Robert C. Hockett (Chair), Lynn A. Stout, Theodor Eisenberg, Saule Omarova and John J. Barceló III*

Honors *Cornell International Law Journal, Editor*

Other *Cornell Graduate Merit Scholarship*
Research Assistant, Professors Theodore Eisenberg, Robert C. Hockett and John J. Barceló III

LL.M., *with honors*, May 2006

Honors CALI Excellence for the Future Award for highest grade in Principles of American Legal Writing
Honors for highest grades in Business Organizations and Legal Writing
Political and Legal Anthropology Review, Copy Editor

Other Treasurer, LL.M. Association

TEL-AVIV UNIVERSITY BUCHMANN FACULTY OF LAW, TEL-AVIV, ISRAEL

LL.B., December 2004

Honors *Theoretical Inquiries in Law* (English-language Law Journal), Staff Editor
Dean's Award for HALEV Volunteer, 2004, 2003, 2002
World Congress of Georgian Jews Award for Academic Excellence & Social Contribution, 2003
Israeli Presidential Volunteer Medal for HALEV Organization

Other Research Assistant, Professor Irit Haviv Segal
Co-Founder of the Consulting and Legislation Project, HALEV
Workplace Justice Project, MFY Legal Services Inc., New York, NY
The Jaffa Community Law Clinic

PUBLICATIONS AND WORKS IN PROGRESS

- *Bargaining Inequality: Golden Handcuffs and Asymmetric Information*, MD. L. REV. (forthcoming 2021)
- [No More Old Boys' Club: Institutional Investors' Fiduciary Duty to Diversify Corporate Boards](#) (with Darren Rosenblum and Michal Agmon-Gonnen) UC DAVIS L. REV. (forthcoming 2021)
Featured on the [Legal Theory Blog](#)
- *I Want to Break Free: MAC Clauses & COVID-19 Litigation*, U. RICH. L. REV. (with Charles Korsmo) (forthcoming 2021); Invited essay for a Symposium
Featured on the [Columbia Blue Sky Blog](#); [Chancery Blog](#)
- *Times They Are a-Changin': When Employees Revolt!*, 80 MD. L. REV. 120 (2020); Invited essay for a Symposium "Delaware's emerging competition and the future of American corporate law"
Featured on the [Business Scholarship Podcast](#); [Mayer Brown Free Writing Blog](#) by Anna Pinedo
- [Investment Bankers as Underwriters: Barbarians or Gatekeepers, a response to Brent J. Horton's "Spotify's Direct Listing: Is It a Recipe for Gatekeeper Failure?"](#) 73 SMU L. REV. F. 251 (2020) (with Robert Rapp and John Livingstone); Invited contribution
Invited to present to the [Council of Institutional Investors](#); [Cited before 9th Circuit](#) in case of first impression

- [*Alternative Venture Capital: The New Unicorn Investors*](#), 88 TENN. L. REV. 985 (2020)
Featured on [Ipse Dixit Podcast](#); [Business Scholarship Podcast](#); JD Supra, [Mayer Brown Free Writing Blog](#) by Anna Pinedo; [Columbia Blue Sky Blog](#); [Bloomberg Law Show](#) by June Grasso
- *Unicorn Stock Options - Golden Goose or Trojan Horse?* 2019 COLUM. BUS. L. REV. 107 (2019)
Cited in a [testimony to Congress](#), House Financial Services Committee, Subcommittee on Investor Protection, Entrepreneurship, and Capital Markets; Featured on [Harvard Corporate Governance Blog](#); [Business Law Prof Blog](#) by Joan Heminway; [Business Law Prof Blog](#) by Ann Lipton; [Mayer Brown Free Writing Blog](#) by Anna Pinedo; [Ipse Dixit Podcast](#); [Business Scholarship Podcast](#); *In re Marriage of Chainier* (Wash. Ct. App. Mar. 29, 2021); *Ribnicky v. Sotaniemi* (Wash. Ct. App. Feb. 16, 2021)
- *Preparing for the Apocalypse: A Multi-Prong Proposal to Develop Countermeasures for Biological, Chemical, Radiological, and Nuclear Threats*, 40 CARDOZO L. REV. (2018) (with Constance E. Bagley)
Featured on [Pod Curiam](#) Podcast
- *The Law of Social Entrepreneurship - Creating Shared Value through the Lens of Sandra Day O'Connor's iCivics*, 20 U. PA. J. BUS. L. (2018)
- *The Coalition Model, A Private-Public Strategic Innovation Policy Model for Encouraging Entrepreneurship and Economic Growth in the Era of New Economic Challenges*, 17 WASH. U. GLOBAL STUD. L. REV. (2018)
- [*The Modern Corporation Statement on Company Law*](#) (with Lynn A. Stout and others) (2016)
Featured on [Professor Bainbridge Blog](#)

BOOK CHAPTERS

- *Unicorns*, in A RESEARCH AGENDA FOR CORPORATE LAW (Christopher Bruner and Marc Moore, eds.) (work in progress) (forthcoming 2021). Invited contribution

SELECTED PRESENTATIONS

Bargaining Inequality: Golden Handcuffs and Asymmetric Information

- Corporate Governance Research Luncheon, Pollack Center for Law & Business, NYU Law & NYU Stern School of Business (2021)
- National Business Law Scholars Conference, Tennessee Law School (2020)
- Law and Society Association Annual Meeting, Corporate & Securities (2020)
- Maryland Law School (2020)

No More Old Boys' Club: Institutional Investors' Fiduciary Duty to Diversify Corporate Boards

- CWRU Law Research Workshop (2020)
- Law and Society Research Workshop, Corporate & Securities (2020)

- Oxford Law School (2020)

Alternative Venture Capital: The New Unicorn Investors

- BYU Winter Deals Conference (2020)
- New Voices in Securities Laws, AALS (2020)
- Boston University Law School Corporate & Securities Litigation Workshop (2019)
- ABA Annual Meeting, Private Equity and Venture Capital Committee, Perspectives on Cutting Edge Issues, Washington DC (2019)
- National Business Law Scholars Conference, UC Berkeley School of Law (2019)
- Governing Innovation and Emerging Technology in Finance Roundtable, UC Berkeley School of Law (2019)
- Law and Society Association Annual Meeting, Corporate & Securities, Washington, DC (2019)
- Corporate Governance Research Luncheon, Pollack Center for Law & Business, NYU Law & NYU Stern School of Business (2019)
- Annual Law & Entrepreneurship Retreat, Boston College Law School (2019)

The G in ESG

- NYU Law School, Legal Issues in Social Entrepreneurship and Impact Investing – in the US and Beyond, Grunin Center for Law and Social Entrepreneurship (2019)

Unicorn Stock Options - A Golden Goose or Trojan Horse?

- National Business Law Scholars Conference, University of Georgia School of Law (2018)
- Law and Society Association, 2018 International Meeting, Toronto, Canada (2018)
- Mid-Atlantic Junior Faculty Forum, Richmond School of Law (2018)
- Corporate Governance Lunch, Pollack Center for Law & Business, NYU Law & NYU Stern School of Business (2018)
- Annual Law & Entrepreneurship Retreat, University of Alabama School of Law (2018)

The Law of Social Entrepreneurship - Creating Shared Value through the Lens of Sandra Day O'Connor's iCivics

- Annual Academy of Legal Studies in Business Conference, Savannah, GA (2017)
- National Business Law Scholars Conference, The University of Utah, S.J. Quinney College of Law, Salt Lake City, UT (2017)

The Coalition Model

- Inter-University Graduate Conference, Cornell Law School (2015)
- Third Annual Workshop on International and Comparative Law, the Center on Law, Innovation and Economic Growth, Washington University School of Law (2013)

SELECTED INVITED PANELS & WORKSHOPS

- *Bargaining Inequality*, Society of Socio Economics (2021)
- *Foundations of Law & Finance*, LawFin Research Seminar, The Center for Advanced Studies on the Foundations of Law and Finance, Goethe University Frankfurt (2021)
- *Bargaining Inequality*, Corporate Governance Research Luncheon, Pollack Center for Law & Business, NYU Law & NYU Stern (2021)

- *What's the Problem With Big Tech?* CWRU Friday Public Affairs Discussion Lunch, Center for Policy Studies (2021)
- *MAC Clauses*, Corporate & Securities Law in the Time of Coronavirus Symposium, Richmond Law School (2021)
- Discussant, *Artificial Intelligence Challenges to Established Legal Institutions*, Monash University and College of Law & Business Ramat Gan (2021)
- *Finance: From Start-Ups to In-House*, Corporate Finance & Law Alliance, CWRU Law (2021)
- *GameStop Stock Trading Roundtable*, The University of Tennessee College of Law (2021)
- *Robinhood and GameStop: Essential Issues and Next Steps for Regulators and Investors*, Boston University's Review of Banking and Financial Law (2021)
- *Primary Direct Listings: Implications for Investors and Corporate Governance*, The Council of Institutional Investors: The Voice of Corporate Governance (2020)
- *Alternative Venture Capital*, Corporate Governance Research Luncheon, Pollack Center for Law & Business NYU Law & NYU Stern (2020)
- *For Whom is the Corporation Managed in 2020?: The Debate over Corporate Purpose*, ECGI (2020)
- *Market Developments Affecting Late Stage Private Placements*, Mayer Brown (2020)
- *Corporate Governance after Pandemic: Dramatic changes or minor adjustments?* Center for International Private Enterprise (2020)
- *Unicorn Stock Options*, Monash University (2020)
- *Launch of the University of Chicago new Center on Law and Finance* (2020)
- *Second Annual International Women in Finance Conference*, Frankfurt, Germany (2020)
- *NYU / Penn Conference on Law & Finance*, Penn Law School (2020)
- *Alternative Venture Capital*, BYU Winter Deals Conference (2020)
- *Delaware's emerging competition and the future of American corporate law*, Symposium, Maryland Law School (2020)
- *Fire-side chat with SEC Commissioner Hester Peirce*, Second Blockland Solutions Conference, Huntington Convention Center, Cleveland, OH (2019)
- *Chair, Corporate Law & Securities*, Law & Society Annual Meeting (2020)
- *No More Old Boys' Club!* Law & Society Annual Meeting (2020)
- *Chair, Changes to the Corporate Governance Landscape*, Law & Society Annual Meeting (2020)
- *Roundtable on Evaluating Cryptocurrencies & Other Market Developments*, Law & Society Annual Meeting (2020)
- *Weil, Gotshal & Manges Corporate Governance Roundtable*, Yale Law School (2019)
- *Moderator, Startup Innovation: The Role of Regulation in Entrepreneurship*, Classical Liberal Institute, NYU Law School (2019)
- *NYU / Penn Conference on Law & Finance*, NYU Law School (2019)
- *Private Ownership at a Public Crossroads: Studying the Rapidly Evolving World of Corporate Ownership*, The Millstein Center's Roundtable & White Paper, Columbia Law School (February 2019)
- *Common Ownership, FTC/NYU Program*, NYU Institute for Corporate Governance and Finance (2018)
- *Roundtable on Loyalty Shares*, NYU Law and ECGI, NYU Law School (2018)
- *National Bureau of Economic Research Summer Institute (Entrepreneurship & Innovation)*, Cambridge, MA (2018)

- *Legal Issues in Social Entrepreneurship and Impact Investing – in the US and Beyond*, Grunin Center for Law and Social Entrepreneurship, at New York University School of Law (2017 & 2018)
- *Corporate Governance Politics as Politics*, New York University, NYU Law Corporate Governance Roundtable (2018)
- *Weil, Gotshal & Manges Corporate Governance Roundtable*, Yale Law School (2018)
- *NYU / Penn Conference on Law & Finance*, University of Pennsylvania (2018)
- *Private Funds Conference: Private Equity, Hedge Funds, Venture Capital*, Yale Law School (2017)
- *The Future of Education in Business Sustainability*, The Wharton Initiative for Global Environmental Leadership (IGEL), University of Pennsylvania (2017)
- Keynote speaker, *The 6th Annual Idea Fund Formal Dessert Event*, Dickinson College (2016)
- *Fast Forward Women Leadership Forum*, Metropolitan Museum of Art (2016)
- *Branded - Women in Sports Panel*, at Dickinson College (2016)
- *2015 Women In The World Summit*, New York, NY (2015)
- *Seneca Women Global Leadership Forum*, which gave a *special tribute to U.S. Supreme Court Justice Sandra Day O'Connor* for her work with iCivics (with U.S. Supreme Court Justices Ruth Bader Ginsburg, Sonia Sotomayor and Elena Kagan) Washington, DC (2015)
- *Berle IV - 6th Annual Berle Symposium - Blair, Stout and Beyond*, The Berle Center, Seattle University School of Law, Seattle, WA (2014)

SELECTED MEDIA CONTRIBUTIONS

- [*SPAC Attack: Placing The Risky Chicken Before The Regulatory Egg*](#), Forbes (April 13, 2021)
- [*Artificial Intelligence In The Corporate Boardroom*](#), Forbes (February 8, 2021)
- [*The War On Wall Street: GameStop Sparks Revolution With Retail Investors*](#), Forbes (February 1, 2021)
- [*Christmas Came Early For Startups*](#), Forbes (December 23, 2020)
- [*Antitrust Is Back: A Q&A With The Experts*](#), Forbes (December 14, 2020) (with Nizan Geslevich Packin)
- [*TikTok, Your Time Is Up*](#), Forbes (December 8, 2020) (Forbes China, December 9, 2020)
- [*Democratizing Access To Private Markets With The Rise Of Alternative Venture Capital*](#), Forbes (November 24, 2020)
- [*Investment Bankers as Underwriters: Barbarians or Gatekeepers?*](#) Forbes (October 13, 2020)
- [*Department of Labor, Fiduciary Duty and The Future of Environmental, Social & Corporate Governance*](#), Forbes (September 14, 2020)
- [*The Facebook Saga: When Tech Employees Revolt*](#), Forbes (July 22, 2020)
- [*When Life Gives You Lemons, Make 'Lemonade' or Something Like It*](#), Forbes (July 2, 2020)
- [*Stakeholder Capitalism: Should Employees Demand Change?*](#) Forbes (June 11, 2020)
- [*Amid Vast Employee Layoffs, We Should Bail Out Tech Startups During the Coronavirus Crisis*](#), Forbes (May 6, 2020)
- [*Buyer's Remorse: Deal Making During the Time of Coronavirus*](#), Forbes (April 22, 2020)
- [*As President Trump Declares National Emergency: Is the Glass Half-Full or Half-Empty?*](#) Forbes (March 14, 2020)
- [*The Unicorn War for Talent: The Employees Fire Back. WeWork Is the Latest Example*](#), Forbes (January 29, 2020)

- [SEC Commissioner Hester Peirce: 'Regulation Can Stifle the Creative Juices in People'](#), Forbes (January 13, 2020)
- [INSIGHT: When Unicorn Employees Revolt and Push for IPO](#), Bloomberg Law (September 30, 2019)

SELECTED MEDIA MENTIONS

- [A reckoning for Spacs: will regulators deflate the boom?](#) Miles Kruppa and Ortenca Aliaj Financial Times (May 4, 2021)
- [The Case Against TikTok](#), Bloomberg Law Show, June Grasso, Bloombergradio.com and Sirius/XM Channel 119 (December 18, 2020)
- [In-Depth: Scathing Report Labels Tech Giants Monopolies that Curb Competition, Stifle Small Businesses](#), Jordan Vandenberg, ABC News Channel 5 (October 8, 2020)
- [Family Communication, Community Organizing in Cleveland's AsiaTown Neighborhood Threatened with Proposed WeChat Ban](#), Kevin Barry, ABC News Channel 5 (October 2, 2020)
- [\\$900 Million Dollar Error](#), Bloomberg Law Show, June Grasso, Bloombergradio.com and Sirius/XM Channel 119 (September 17, 2020)
- [FirstEnergy Now Under SEC Scrutiny: What Does that Mean?](#) Sabrina Eaton, Ohio Politics (September 17, 2020)
- [LVMH Leaves Tiffany at Altar & \\$900 Million Bank Error](#), June Grasso Podcast, Bloomberg Law
- [Finders, Keepers? On Citi's US\\$900m Error](#), The Business Times (September 16, 2020)
- ['Unjust Enrichment' and Citi's \\$900 Million Error](#), Bob Van Voris, Bloomberg (September 15, 2020)
- [U.S. Worker Visas May Hang in the Balance as TikTok Fights Trump Order: Perceived Security Concerns, Presidential Power Come to Fore in California Case](#), Juliette Fairley, Pace Monitor (September 9, 2020)
- [Rare Listerine Royalty Auction Tied To 1881 Contract Flub](#), Law360 (July 21, 2020)
- [Equity Compensation — Has the Promise of Wealth Become Illusory?](#) Ray E. Gallo, Medium (June 17, 2020)
- [Coronavirus pandemic prompts wave of 'business interruption' lawsuits by restaurants](#), Stephanie Zimmerman, ABA Journal (May 26, 2020)
- [Owner of Chapel Hill Mall Buys Mall in Ashtabula](#), ABC News 5, Olivia Fecteau (February 13, 2020)
- [Why are Private Markets the New Public Markets?](#) Maureen Milford, PRIVATE COMPANY DIRECTOR Magazine (December 2019)
- [Boards Need to Take a Second Look at Stock Options.](#) Maureen Milford, PRIVATE COMPANY DIRECTOR Magazine (November 2019)
- [What Is Oyo? Behind SoftBank's Latest High-Growth, High-Valuation Bet](#), Fortune Magazine (October 14, 2019)
- [This Unicorn Thrives on Salad. Investors Supply the Other Greens](#), Fortune Magazine (September 25, 2019)
- [Breaking the Golden Cage: This Israeli Company Lets Workers Quit and Keep Their Options, Too](#), Ruti Levy, Haaretz Magazine (April 23, 2019)

OTHER EXPERIENCE

HONORABLE MICHAEL B. OREN, AMBASSADOR OF ISRAEL TO THE U.S.A., WASHINGTON D.C.

Advisor, 2011 to 2013

Speech writer; Developed digital strategies for social media campaigns related to Israel's diplomatic mission in the United States

PHILLIPS LYTTLE, LLP, ALBANY, NEW YORK

Associate, Corporate, Banking, Capital and Innovation Department, 2006 to 2007

Represented clients in corporate law matters, including formation, mergers and acquisitions, venture capital transactions, securities offerings, and executive compensation

HONORABLE NORMAN A. MORDUE, CHIEF JUDGE, U.S. DISTRICT COURT, NORTHERN DISTRICT OF N.Y.

Student Law Clerk, 2005

Conducted legal research, prepared memoranda, and drafted opinions

AMIT, POLLAK, MATALON & BEN-NAFTALI, EREZ & CO., TEL-AVIV, ISRAEL

Trainee Associate, Corporate, Hi-Tech and Private Equity Department, 2004 to 2005

Represented clients in mergers and acquisitions and venture capital transactions

BAR ADMISSIONS New York (2007), Israel (2005)

LANGUAGES Hebrew (native); English (native); Georgian (conversant)