

## Sophia Guidebook

Welcome to our *Sophia Guidebook*. In the following pages, you will find screenshots and instructions on effectively navigating the *Sophia*, our office's database for managing intellectual property at CWRU. The guidebook comprises several sections: **Login, Homepage, Inventions, Agreements, and Online Disclosures.**

Following is the link to log in: <https://case.wellspringsoftware.net/kms>

### Sophia Login

**Sophia**  
Knowledge Management System

Welcome, Guest!  
Login

Home

Enter your Case user ID and password here.

### Login

Username

Password

Login

Forgot Your Password?

Wellspring Worldwide

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# Homepage

On the Homepage, you will see a list of your inventions. These appear in the “Inventor Homepage” section just below. You will also see a list of agreements in which you are involved. These appear in the “Inventor Agreements” section below.



These are your inventions!

Home

Welcome, John Smith

Inventor Homepage				
No.	Title	Disclosure Date	Disclosure Status	Inventors
2021-0001	Invention Six	Jul 23, 2020	Active - Investment	★ John Smith ✉ Ocasio Galucci
2020-0001	Invention Five	Dec 12, 2019	Closed	★ John Smith ✉ Merci Gaines ✉ Inod Khajanian
2019-0001	Invention Four	Feb 1, 2019	Active - Investment	★ John Smith ✉
2018-0001	Invention Three	May 22, 2018	Active - 2nd Party Management	★ John Smith ★ Asa Baum ✉ Kip Vanderpool
2017-0001	Invention Two	Mar 31, 2017	Closed	★ John Smith ✉ Astrid Ingridson
2016-0001	Invention One	Apr 14, 2016	Closed	★ John Smith ✉ Dylan Eppinger

- Tasks**
- New Disclosure
  - Customize Home Page

These are your agreements!

Inventor Agreements				
No.	Start Date	Type	Status	Organization/Person
A2021-00000	Jun 12, 2021	NDA	Active	Acme, Inc. Case Western Reserve University ★ John Smith ✉ Ocasio Galucci
A2019-00000	Mar 3, 2019	MTA-In	Active	Robotic Robotics, Inc. Case Western Reserve University ★ John Smith
A2018-00000	May 14, 2018	NDA	Active	Biomed Biomedical, Inc. Case Western Reserve University John Smith ★ Asa Baum ✉ Kip Vanderpool

To see further details about an invention, click on the number next to it.



## Welcome, John Smith

Click here to see more about this invention.

Title	Disclosure Date	Disclosure Status	Inventors
<a href="#">2021-0001</a> - Invention Six	Jul 23, 2020	Active - Investment	★ John Smith ✉ Ocasio Galucci
2020-0001 - Invention Five	Dec 12, 2019	Closed	★ John Smith ✉ Merci Gaines ✉ Inod Khajanian
2019-0001 - Invention Four	Feb 1, 2019	Active - Investment	★ John Smith ✉
2018-0001 - Invention Three	May 22, 2018	Active - 2nd Party Management	★ John Smith ✉
2017-0001 - Invention Two	Mar 31, 2017	Closed	★ John Smith ✉ Astrid Ingridson
2016-0001 - Invention One	Apr 14, 2016	Closed	★ John Smith ✉ Dylan Eppinger

### Tasks

- New Disclosure
- Customize Home Page

### Inventor Agreements

No.	Start Date	Type	Status	Organization/Person
A2021-00000	Jun 12, 2021	NDA	Active	Acme, Inc. Case Western Reserve University ★ John Smith ✉ Ocasio Galucci
A2019-00000	Mar 3, 2019	MTA-In	Active	Robotic Robotics, Inc. Case Western Reserve University ★ John Smith
A2018-00000	May 14, 2018	NDA	Active	Biomed Biomedical, Inc. Case Western Reserve University John Smith ★ Asa Baum ✉ Kip Vanderpool

# Inventions

Below are your invention details. They include information such as **Disclosure Status, Inventors (and distribution shares), Sponsor Organizations (if any), resulting Intellectual Property (with patent statuses), resulting Agreements, and Translational Programs used (if any).**



Home

## Invention 2021-0001

**Details**

Track Code	2021-0001
Wellspring Track Code	2021-000
Title	Invention Six
Short Title	Invention Six
Disclosure Status	Active - Investment
Disclosure Date	Jul 23, 2020
First Public Disclosure	None
Decision Date	None
Inactive Date	None
IDF Complete	None
Primary Technology Class	None
Secondary Technology Class	None
Biomedical Engineering Disclosure?	No
Metro Disclosure?	No
Veterans Affairs (VA) Disclosure?	No
VA Identification Number	None
University Hospitals (UH) Disclosure?	No
UH %	None
CLIPS Disclosure?	No
Private Abstract	None
Public Abstract	Public abstract here.
Description	None
First Licensing Officer	Warren Barrister
Second Licensing Officer	None
Tags	Biomedical
Total Distributions	\$ 0.00
Starting Distribution Amount	\$ 0.00
Account Number	None
Created By	System Admin on Jul 23, 2020 11:14 AM
Modified By	Warren Barrister on Feb 21, 2021 6:33 PM

**Active - 2nd Party Management:** CWRU co-owns, but partner institution leads commercialization.

**Active - Co-Owned - CWRU Managing:** CWRU co-owns, with CWRU as the lead.

**Active - Investment:** Active management; Investment decision made to file patent.

**Active - Pre-Investment:** Active management, but no decision made on whether to file patent; Or, patent not necessary.

**Cross-check & Assign:** Invention Disclosure received by Tech Transfer Office (TTO), but not yet assigned to a Case Manager.

**Revisit:** More development or data needed before commercialization activities can commence again.

**Maintenance - Fully Obligated:** Under exclusive license or exclusive option.

**Maintenance - Partially Obligated:** Under non-exclusive license.

**Released:** Released to Inventors (Subject to Federal agency approval).

**Inventors**

Lead	Share	Name	Organization/School/Department	Title
★	50.0000 %	John Smith	Case Western Reserve University	Professor
	50.0000 %	Ocasio Galucci	Biomedical Engineering (Department)	Assistant Professor

**Attorneys**

No Invention Attorneys

**Sponsor Organizations**

Grant or SFP No.	Accounting Code	Award Date	Contact	Organization
RES000000	RES000000	None	Grant Earnest	Coulter-Case Translational Research Partnership (CCTRP)

**iEdison Information**

Submit to iEdison?	No
iEdison Docket Number	WS INV 000
iEdison Invention Status	Unknown
NIH EIR Number	NNNNNN
Primary Agency	None
Title Extension Request	None
Patent Extension Request	No
Bar Date	None
iEdison Patent Status	None
Title Elect Date	None
Waive Reason	None
Notes	None

**Intellectual Property**

No.	Country of Filing	Status	Type	Application/Provisional Number	PCT Number	Application/Filing Date	Intellectual Property Number
2021-0000-02	Not Applicable (PCT App)	Expired	PCT	PCT/US00/00000	None	Feb 26, 2021	None
2021-0000-03	United States	Issued	PCT	12/000,000	None	Sep 15, 2021	US 0,000,000 B2
2021-0000-01	United States	Expired	Provisional	60/000,000	None	Jul 23, 2020	None

**Active - 2nd Party Management:** CWRU co-owns, but partner institution leads commercialization.

**Active - Co-Owned - CWRU Managing:** CWRU co-owns, with CWRU as the lead.

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**Released:** Released to Inventors (Subject to Federal agency approval).

Your Licensing Officer is here.

Your inventor information is here.

Your funding information is here.

"No" refers to automatic electronic submission. Our office uses manual submissions.

We receive the docket and EIR numbers after submission, then enter them here.

iEdison: an interagency online reporting system used by Tech Transfer Offices to report subject inventions created from federal funding and complete other reporting as required by the Bayh-Dole Act and its implementing regulations.

Here is your patent information for this invention.



## Invention 2021-0001

### Details

Track Code	2021-0001
Wellspring Track Code	2021-000
Title	Invention Six
Short Title	Invention Six
Disclosure Status	Active - Investment
Disclosure Date	Jul 23, 2020
First Public Disclosure	None
Decision Date	None
Inactive Date	None
IDF Complete	None
Primary Technology Class	None
Secondary Technology Class	None
Biomedical Engineering Disclosure?	No
Metro Disclosure?	No
Veterans Affairs (VA) Disclosure?	No
VA Identification Number	None
University Hospitals (UH) Disclosure?	No
UH %	None
CLiPS Disclosure?	No
Private Abstract	None
Public Abstract	Public abstract here.
Description	None
First Licensing Officer	Warren Barrister
Second Licensing Officer	None
Tags	Biomedical
Total Distributions	\$ 0.00
Starting Distribution Amount	\$ 0.00
Account Number	None
Created By	System Admin on Jul 23, 2020 11:14 AM
Modified By	Warren Barrister on Feb 21, 2021 6:33 PM

These fields are not typically used.

### Inventors

Lead	Share	Name	Organization/School/Department	Title
★	50.0000 %	John Smith	Case Western Reserve University	Professor
	50.0000 %	Ocasio Galucci	Biomedical Engineering (Department)	Assistant Professor

### Attorneys

No Invention Attorneys

### Sponsor Organizations

Grant or SFP No.	Accounting Code	Award Date	Contact	Organization
RES000000	RES000000	None	Grant Earnest	Coulter-Case Translational Research Partnership (CCTRP)

### iEdison Information

Submit to iEdison?	No
iEdison Docket Number	WS_INV_000
iEdison Invention Status	Unknown
NIH EIR Number	NNNNNN
Primary Agency	None
Title Extension Request	None
Patent Extension Request	No
Bar Date	None
iEdison Patent Status	None
Title Elect Date	None
Waive Reason	None
Notes	None

These fields are not typically used.

### Intellectual Property

No.	Country of Filing	Status	Type	Application/Provisional Number	PCT Number	Application/Filing Date	Intellectual Property Number
2021-0000-02	Not Applicable (PCT App)	Expired	PCT	PCT/US00/00000	None	Feb 26, 2021	None
2021-0000-03	United States	Issued	PCT	12/000.000	None	Sep 15, 2021	US 0,000,000 B2
2021-0000-01	United States	Expired	Provisional	60/000.000	None	Jul 23, 2020	None

Here are your agreements for this invention.



Agreements				
No.	Start Date	Type	Status	Organization/Person
A2021-00000	Jun 12, 2021	NDA	Active	Acme, Inc. Case Western Reserve University John Smith Ocasio Galucci

This section indicates translational programs utilized.



Translational Programs Utilized		
Step Name	Completed?	Comment
Taipei Medical University	<input type="checkbox"/>	
CAHH	<input type="checkbox"/>	
CTSC	<input type="checkbox"/>	
CWRU TVSF	<input type="checkbox"/>	
Coulter	<input type="checkbox"/>	
NCAI	<input type="checkbox"/>	
TTO Dev Fund	<input type="checkbox"/>	

Activities

No Related Activities

Alerts

No Related Alerts

Agreements				
No.	Start Date	Type	Status	Organization/Person
A2021-00000	Jun 12, 2021	NDA	Active	Acme, Inc. Case Western Reserve University John Smith Ocasio Galucci

Translational Programs Utilized		
Step Name	Completed?	Comment
Taipei Medical University	<input type="checkbox"/>	
CAHH	<input type="checkbox"/>	
CTSC	<input type="checkbox"/>	
CWRU TVSF	<input type="checkbox"/>	
Coulter	<input type="checkbox"/>	
NCAI	<input type="checkbox"/>	
TTO Dev Fund	<input type="checkbox"/>	

These fields are not typically used.



Activities

No Related Activities

Alerts

No Related Alerts

To see further details about an agreement, click on the number next to it.



## Welcome, John Smith

### Inventor Homepage

No.	Title	Disclosure Date	Disclosure Status	Inventors
2021-0001	Invention Six	Jul 23, 2020	Active - Investment	★ John Smith ✉ Ocasio Galucci
2020-0001	Invention Five	Dec 12, 2019	Closed	★ John Smith ✉ Merci Gaines ✉ Inod Khajanian
2019-0001	Invention Four	Feb 1, 2019	Active - Investment	★ John Smith ✉ John Smith
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2017-0001	Invention Two	Mar 31, 2017	Closed	★ John Smith ✉ Astrid Ingridson
2016-0001	Invention One	Apr 14, 2016	Closed	★ John Smith ✉ Dylan Eppinger

### Tasks

- New Disclosure
- Customize Home Page

Click here to see more about this agreement.

### Inventor Agreements

No.	Start Date	Type	Status	Organization/Person
A2021-00000	Jun 12, 2021	NDA	Active	Acme, Inc. Case Western Reserve University ★ John Smith ✉ Ocasio Galucci
A2019-00000	Mar 3, 2019	MTA-In	Active	Robotic Robotics, Inc. Case Western Reserve University ★ John Smith
A2018-00000	May 14, 2018	NDA	Active	Biomed Biomedical, Inc. Case Western Reserve University John Smith ★ Asa Baum ✉ Kip Vanderpool

# Agreements

Below are your agreement details. They include information such as **Licensing Officer, Organizations, Persons** involved with the agreement, associated **Inventions**, associated **Intellectual Property**, and any related **Agreements**.

## Agreement A2021-00000

Details	
Wellspring Track Code	2021-0000
Type	NDA
Agreement Subtype	CWRU template
Status	Active
Execution Date	Jun 16, 2021
Start Date	Jun 12, 2021
Effective Date	Jun 12, 2021
Field of Use	None
Final Copy Received Date	None
Termination Date	None
Expiration Date	None
Description	None

Agreement Title	Confidentiality Agreement (2-way)
External Contract Number	None
Account Number	None
Date Sent Out	None
Date University Signed	None
Date Organization Signed	None
Tags	None
First Licensing Officer	Warren A. Barrister
Second Licensing Officer	None
Starting Net Revenue	\$ 0.00 (last modified: never)
Distribution Policy	None
Distribution Note	None
Created By	Warren A. Barrister
Modified By	Warren A. Barrister

Your Licensing Officer is here.

Parties to the agreement are here.

Organization	Contact	Relationship Type
Case Western Reserve University	John Smith	Internal
Acme, Inc.	Stanton Grantham	External

Internal persons are here.

Lead	Person	Organization/School/Department	Relationship Type
★	John Smith	Biomedical Engineering (Department)	Internal

Inventions included in the agreement are here.

Share	No.	Title	Disclosure Date	Disclosure Status	Inventors
100.0000 %	2021-0001	Invention Six	July 23, 2020	Active - Investment	★ John Smith Ocasio Galucci

Intellectual Properties
No Intellectual Properties

Associated patent applications would be listed here.

Parent Agreement
No Parent Agreements

Any parent agreement that this agreement amends or modifies would be listed here.

Related Agreements
No Related Agreements

Any other related agreements would be listed here.

# Agreement A2021-00000

## Details



Wellspring Track Code	2021-0000
Type	NDA
Agreement Subtype	CWRU template
Status	Active
Execution Date	Jun 16, 2021
Start Date	Jun 12, 2021
Effective Date	Jun 12, 2021
Field of Use	None
Final Copy Received Date	None
Termination Date	None
Expiration Date	None
Description	None

These fields are not typically used.

Agreement Title	Confidentiality Agreement (2-way)
External Contract Number	None
Account Number	None
Date Sent Out	None
Date University Signed	None
Date Organization Signed	None
Tags	None
First Licensing Officer	Warren A. Barrister
Second Licensing Officer	None
Starting Net Revenue	\$ 0.00 (last modified: never)
Distribution Policy	None
Distribution Note	None
Created By	Warren A. Barrister
Modified By	Warren A. Barrister

These fields are not typically used.

These fields are not typically used.

## Organizations



Organization	Contact	Relationship Type
Case Western Reserve University	John Smith	Internal
Acme, Inc.	Stanton Grantham	External

## Persons / Principal Investigators



Lead	Person	Organization/School/Department	Relationship Type
★	John Smith	Biomedical Engineering (Department)	Internal

## Inventions



Share	No.	Title	Disclosure Date	Disclosure Status	Inventors
100.0000 %	2021-0001	Invention Six	July 23, 2020	Active - Investment	★ John Smith Ocasio Galucci

## Intellectual Properties



No Intellectual Properties

## Parent Agreement



No Parent Agreements

## Related Agreements



No Related Agreements

**Note: If your invention documents cover the information requested below, then you do not need to fill in the fields. You will be able to attach your documents at the bottom of this page.**

### Online Disclosure Form

This form is for Invention Disclosures. Fields with an asterisk (\*) are required. Inventors have the option of using the "Description" field, or "Attach File" button to upload documents that describe the invention.

#### New Disclosure: Details

Details Researchers Funding Questions Confirm

Your progress is indicated here.

\* indicates a required field

**Title\***   
**Disclosure Types\***

#### Description

Describe the invention, its function, workings, and any other relevant details.

If there has been a public disclosure, or if one is anticipated, enter the date here.

**First Public Disclosure (past or future anticipated)**

#### Circumstances of Disclosure

Public disclosure includes:  
- Publication in peer-reviewed journals  
- Paper/poster presentations  
- Thesis/dissertation defenses  
- Other forms of public disclosure of the invention

#### Suggested Keywords

Optional: Keywords that capture the subject matter of the invention.

**Attached Documents** Documents describing the invention can be attached here.  
No files uploaded

Please Attach Any Documents Relevant To This Disclosure

**File**  No file selected.

Click this button to attach your files.

Click this button to advance to the next page.

## Edit Disclosure D2022-0000: Researchers

[Details](#) [Researchers](#) [Funding](#) [Questions](#) [Confirm](#)

Additional inventors are added here. If there are no additional inventors, leave this blank.

### Researcher Information

\* indicates a required field

First Name\*

Middle Name

Last Name\*

Organization

Please provide a valid e-mail address.

E-Mail Address\*

Your information is automatically listed here.

Add to List

### Researcher List

Lead	% Contribution	Name	Organization	E-Mail
<input checked="" type="radio"/>	100.00	John Smith	Case Western Reserve University	john.smith0000@case.edu

You can adjust contributions as you add inventors.

Click this button to advance to the next page.

Next Step

## Edit Disclosure D2022-0000: Funding

[Details](#) [Researchers](#) [Funding](#) [Questions](#) [Confirm](#)

If the research was developed with any grant (federal agencies, foundations, etc.), industry research contract funds, or other sponsor's funds, please list below.

### Sponsor Information

\* indicates a required field, if no external funding was used, check the box below.

Sponsor Name\*

Country\*

Contract Number\*

Contact Information

Please include any contact information for the sponsor. e.g. Contact name, phone number, e-mail address.

Check this box if no external funding was used.

Click this button to add Sponsors after entry of information above.

Add to List

### Sponsor List

Add Sponsors By Entering Data Above

This disclosure used no external funding

Click this button to advance to the next page.

Next Step

# Edit Disclosure D2022-0000: Questions

-  Details
-  Researchers
-  Funding
-  Questions
-  Confirm

Please attach any related electronic documents at the bottom of the page.

## Survey Questions

\* indicates a required field

### Case Western Reserve University INVENTION DISCLOSURE

Were any internal funds received from, or facilities used at any of the following?

- VA
- Metro
- UHCMC
- I assert that none of the above are applicable.

Was there significant use of CWRU administered funds or facilities?

- Yes
- No

For each inventor, please indicate Country of Citizenship in the field below:

**DATES OF CONCEPTION AND PUBLIC DISCLOSURE**  
*(accurate data is essential, as prior disclosure may affect the possibility of obtaining patent rights)*

Date of conception of invention.  
Has this date been documented?

- Yes
- No

Date:

  

References/Comments

Please include names of periodicals/journals.

First public disclosure (past or anticipated) containing sufficient description to enable a person skilled in this field to understand and to make or use the invention.  
(include on the the definition of "public disclosure" is any oral presentation with handouts, seminars, conferences, papers, abstracts, web postings, etc.)

Date:

Note: If you have already attached a technical description, then you do not need to attach anything below.

EITHER:

1.) Provide a detailed description of technology in box below

OR

2.) Attach a description at the bottom of the page (e.g., journal article, copy of poster, lab notes, etc.)

The description of the Invention that must be sufficiently complete in technical detail to convey a clear understanding to the extent known at the time of the disclosure, of the nature, purpose, operation, and the physical, chemical, biological or electrical characteristics of the invention.

[Empty text box for detailed description]

References/Comments

Please include names of periodicals/journals.

[Empty text box for references]

Please list below or attach at the bottom of the page a list of any commercial entities that may be interested in this invention. (Provide as much detail as is possible).

[Empty text box for commercial entities]

Please list below or attach at the bottom of the page a listing of the name, department and contact information of up to three peers who would be willing to perform a confidential review of the invention. (optional).

[Empty text box for peer review information]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. \*

Required field.

Attached Documents

No files uploaded

Documents describing the invention can be attached here.

Please Attach Any Documents Relevant To **My** Disclosure

File

Browse... No file selected.

Click this button to attach files, if desired.

Click this button to advance to the next page.

# Disclosure D2022-0000: Confirm

- Details
- Researchers
- Funding
- Questions
- Confirm

This page allows you an opportunity to review your responses.

**Notice**

Please review your information.

Please ensure that all the following Disclosure information is correct.

[Print a copy](#)

When your information is correct press "Submit for Approval"

Click this button to submit for approval. [Submit For Approval](#)

[Print a copy of this Disclosure](#) [Edit this Disclosure](#)

Details	
Track Code	D2023-0011
Disclosure Type	Online Disclosure
Disclosure Status	In Progress
First Public Disclosure	None
Circumstances of Disclosure	None
Suggested Keywords	None
Description	None
Name	Invention Seven
Created By	John Smith  on Dec 6, 2022 7:37 AM
Modified By	John Smith  on Dec 6, 2022 7:38 AM

Click these links to make edits. [Add Researchers to this Disclosure](#)

[Add Researchers to this Disclosure](#)

Share	Name	Organization	E-Mail	Signoff/By
★ 100.00 %	John Smith	Case Western Reserve University	john.smith0000@case.edu	<input type="checkbox"/> None

[Add Funding to this Disclosure](#)

No External Funding.

[Edit Specific Answers](#)

## Invention Disclosure

Were any internal funds received from, or facilities used at any of the following?

none

Was there significant use of CWRU administered funds or facilities?

none

For each inventor, please indicate Country of Citizenship in the field below:

United States

**Date of conception of invention.**  
Has this date been documented?

none

**Date:**

None

**References/Comments**

Please include names of periodicals/journals.

none

**First public disclosure (past or anticipated) containing sufficient description to enable a person skilled in this field to understand and to make or use the invention. (include on the the definition of "public disclosure" is any oral presentation with handouts, seminars, conferences, papers, abstracts, web postings, etc.)**

**Date:**

None

**EITHER:**

1.) Provide a detailed description of technology in box below

**OR**

2.) Attach a description at the bottom of the page (e.g., journal article, copy of poster, lab notes, etc.)

**The description of the Invention that must be sufficiently complete in technical detail to convey a clear understanding to the extent known at the time of the disclosure, of the nature, purpose, operation, and the physical, chemical, biological or electrical characteristics of the invention.**

none

**References/Comments**

Please include names of periodicals/journals.

none

**Please list below or attach at the bottom of the page a list of any commercial entities that may be interested in this invention. (Provide as much detail as is possible).**

none

**Please list below or attach at the bottom of the page a listing of the name, department and contact information of up to three peers who would be willing to perform a confidential review of the invention. (optional).**

none

**I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true.**

Yes

Related Files



No Files

You can also click this button to submit for approval.



Submit For Approval

Your Disclosure Form has been successfully submitted!

**Success**

- Your Disclosure Track Code: D2022-0000 has been submitted.

**Inventor Homepage**

No.	Title	Disclosure Date	Disclosure Status	Inventors
2021-0001	Invention Six	Jul 23, 2020	Active - Investment	John Smith Ocasio Galucci
2020-0001	Invention Five	Dec 12, 2019	Closed	John Smith Merci Gaines Inod Khajanian
2019-0001	Invention Four	Feb 1, 2019	Active - Investment	John Smith
2018-0001	Invention Three	May 22, 2018	Active - 2nd Party Management	John Smith Asa Baum Kip Vanderpool
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2016-0001	Invention One	Apr 14, 2016	Closed	John Smith Dylan Eppinger

- Tasks**
- New Disclosure
  - Customize Home Page

**Inventor Agreements**

No.	Start Date	Type	Status	Organization/Person
A2021-00000	Jun 12, 2021	NDA	Active	Acme, Inc. Case Western Reserve University John Smith Ocasio Galucci
A2019-00000	Mar 3, 2019	MTA-In	Active	Robotic Robotics, Inc. Case Western Reserve University John Smith
A2018-00000	May 14, 2018	NDA	Active	Biomed Biomedical, Inc. Case Western Reserve University John Smith Asa Baum Kip Vanderpool

**Your Online Disclosures**

No.	Name	Status	Create Date
D2022-0000	Invention Seven	Pending	Dec 6, 2022 2:07 PM
D2021-0000	Invention Six	Approved	Jul 23, 2020 11:14 AM
D2020-0000	Invention Five	Approved	Dec 12, 2019 3:37 PM
D2019-0000	Invention Four	Approved	Feb 1, 2019 10:45 AM
D2018-0000	Invention Three	Approved	May 22, 2018 12:59 PM
D2017-0000	Invention Two	Approved	Mar 31, 2017 2:28 PM
D2016-0000	Invention One	Approved	Apr 14, 2016 5:51 PM

For your reference, following is an example of the paper form that is still available on our website.

When completed submit via:  
Technology Transfer Office  
Sears Building – 6th Floor  
(216) 368-0323 • Fax (216) 368-0196

### Case Western Reserve University INVENTION DISCLOSURE

Case No. (this space for TTO use only)

*Please see the instructions on page 2 for additional information on how to complete this form.*

1. TITLE OF INVENTION  
\_\_\_\_\_

2. PLEASE ATTACH A DESCRIPTION OF TECHNOLOGY.

3. INVENTOR(S) _____	% OF CONTRIBUTION _____	SCHOOL, DEPARTMENT, POSITION (PRIMARY APPOINTMENT) _____	OTHER INSTITUTIONAL AFFILIATION <input type="checkbox"/> VA <input type="checkbox"/> Metro <input type="checkbox"/> UHCMC <input type="checkbox"/> VA <input type="checkbox"/> Metro <input type="checkbox"/> UHCMC <input type="checkbox"/> VA <input type="checkbox"/> Metro <input type="checkbox"/> UHCMC <input type="checkbox"/> VA <input type="checkbox"/> Metro <input type="checkbox"/> UHCMC
-------------------------	----------------------------	---	---

4. Was this invention developed with the use of any research grant/contract funds? YES  NO

CONTRACT NO(S). _____	SPONSOR(S) _____	O.S.P. PROJECT NO(S). _____	PRINCIPAL INVESTIGATOR _____
--------------------------	---------------------	--------------------------------	---------------------------------

Were funds received from, or facilities used at any of the following:  VA  Metro  UHCMC ?

**Please note that accurate and complete sponsorship information is necessary to fulfill CWRU obligations under research contracts.**

5. If no contract or grant, was there significant use of CWRU administered funds or facilities? YES  NO

6. DATES OF CONCEPTION AND PUBLIC DISCLOSURE <i>(accurate data is essential, as prior disclosure may affect the possibility of obtaining patent rights)</i>	DATE	REFERENCES / COMMENTS <i>Please include names of periodicals/journals. (Use a separate sheet if necessary)</i>
A. Date of conception of invention. Has this date been documented? If so, where?	_____	_____
B. First public disclosure (past or anticipated) containing sufficient description to enable a person skilled in this field to understand and to make or use the invention. (Included in the definition of "public disclosure" is any oral presentation with handouts, seminars, conferences, papers, abstracts, web postings, etc.)	_____	_____

7. Please attach list of any commercial entities that may be interested in this invention. (Provide as much detail as is possible.)

8. Please attach the name, department and contact information of up to three peers who would be willing to perform a confidential review of the invention. (optional)

9. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true.

**Per the Intellectual Property Policy, I (we) hereby agree to assign all right, title and interest to this invention to CWRU and agree to execute all documents as requested, assigning to CWRU our rights in any patent application filed on this invention, and to cooperate with the CWRU Technology Transfer Office in the protection of this invention. CWRU will share any royalty income derived from the invention with the inventor(s) according to the Intellectual Property Policy.**

Inventor Name: _____  Inventor's Signature _____ Date _____ _____ Home Address _____ Phone _____ _____ Email Address: _____ Country of Citizenship _____	Inventor Name: _____  Inventor's Signature _____ Date _____ _____ Home Address _____ Phone _____ _____ Email Address: _____ Country of Citizenship _____
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Inventor Name: _____  Inventor's Signature _____ Date _____ _____ Home Address _____ Phone _____ _____ Email Address: _____ Country of Citizenship _____	Inventor Name: _____  Inventor's Signature _____ Date _____ _____ Home Address _____ Phone _____ _____ Email Address: _____ Country of Citizenship _____
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Please note that country of citizenship is required; absence of this information may hinder filing of any patent applications that may result from this technology. If there are more than four inventors, please attach an additional form.

10. Technology disclosed to and understood by:  
Signature of Non-Inventor Witness \_\_\_\_\_ Date \_\_\_\_\_  
Name and Title of Witness (please type or print) \_\_\_\_\_

## Instructions

1. Please provide a brief title for the invention.
2. Please attach a description of the technology. At a minimum, this should be a one to two page summary description of the invention and why it is unique or represents an improvement over existing technologies. Preferably, the description will include one or more of the following: submissions for publication or published material; lab notes; presentations; diagrams/drawings; results and graphs.

The description allows our office to conduct a review of patentability and commercial viability. The more complete the description is, the more thoroughly we can conduct such a search. *Please feel free to include as much information as you like – it will help our office evaluate and understand your innovation.*

3. Please list all individuals who contributed to the concept and/or reduction to practice of the invention. The % contribution should be decided by and between the inventors and should total 100%. Please be sure to include information as to primary appointment and any other institutional affiliations for each inventor.
4. If the invention was conceived or reduced to practice with the use of federal or contract funds, please check the appropriate box and list the grant/contract number(s) in this space. Please also note if VA, MetroHealth, or UHCCM funds or facilities were used. ***This information is required for University compliance with Federal law and/or contract obligations.***
5. This refers to the use of University time and/or lab space in the development of the invention. Please check the appropriate box.
6. Please list all dates concerning conception and disclosure or anticipated disclosure of the invention.
7. Please attach a list of commercial entities that you think would be interested in licensing this technology to the disclosure. It is ok if you do not have such a list.
8. Optional Peer Review – if you would like a peer review of your work, please attach a list of colleagues who would be willing to perform such a review. Comments from your colleagues will be used to assist the office in its evaluation of your invention.
9. Signature – in order to process the invention disclosure, the signature of **all** named CWRU inventors and their complete information (address, and citizenship) must be provided. If an inventor is off campus or no longer with the university, a fax signature is acceptable. If an inventor is or was at an institution other than CWRU during their contribution to the invention, a signature is not required, but please include contact and citizenship information.
10. Witness Signature – this box is to help provide backing to establish a date of conception. It can be signed by a member of your lab or a colleague to whom you have explained the invention in detail, provided that this individual is not also an inventor named on this disclosure.