

CONTINGENCY MANAGEMENT FOR SUBSTANCE USE DISORDER PROFESSIONALS

OHIO SUBSTANCE USE DISORDERS CENTER OF EXCELLENCE

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Please sign-in through the chat box:

1. Full name
2. Email address
3. License and/or Certification number

You are required to be on camera and/or participating in the training to be eligible for the CEU certificate

OBJECTIVES

1. Review and process the connection between operant conditioning, learning and substance use behavior.
2. Identify and explain basic parameters of contingency management within substance use disorder treatment settings.
3. Define and describe 7 principles for contingency management.
4. Develop understanding of how contingency management can be integrated and implemented into substance use disorder treatment.

TELL US ABOUT A TIME....



<https://www.menti.com/aleh1qdvsvq8>

WHAT IS CONTINGENCY MANAGEMENT?

- **Definition-** “a type of behavioral therapy in which individuals are ‘reinforced’, or rewarded, for evidence of positive behavioral change.” (NIH, 2011)
- Evidence based practice with a goal to reduce substance use through implementing a tangible reward structure within the individual's treatment.

HISTORY OF CONTINGENCY MANAGEMENT IN SUBSTANCE USE DISORDER TREATMENT

1960's

Operant Conditioning for SUD to reinforce abstinence



1980's

Voucher method implemented



2000's

Cost effective implementation of CM developed: Fishbowl Method



1970's

Assistance of reinforcements for alcohol use



1990's

Research finds CM helpful for use of cocaine and opioids



COMMON BELIEFS AND CHALLENGES

- The belief that individuals utilizing substances do not deserve to be rewarded for behaviors they "should" already be doing.
- Contingency management is supplementing one addictive behavior for another.
- Historical models of care for substance use treatment continue to overshadow acceptance and implementation of evidence-based interventions.
- Implementation of evidence-based practice needs buy in from providers to ensure high quality of care and adherence to the model.

OPERANT CONDITIONING

- Contingency Management (CM) is based on the learning theory of Operant Conditioning.
- Behaviors will increase or decrease when something in the environment is either added or removed.
- The three methods for altering behaviors are:
 - Positive reinforcement
 - Negative reinforcement
 - Punishment
- CM uses positive reinforcement exclusively.



WHAT THE RESEARCH TELLS US

- Individuals with a stimulant use disorder are twice as likely to benefit from CM than typical treatments such as cognitive behavioral therapy (CBT), motivation interviewing (MI), and counseling. Combining such treatment approaches with CM also increases outcomes (U.S. Department of Human Services, 2023).
- CM is effective when working with individuals from diverse populations such as dual diagnosis, the homeless population, racially and socioeconomic diverse populations and individuals involved in the criminal justice system (U.S. Department of Human Services, 2023).

WHAT THE RESEARCH TELLS US

- Research found that implementation of CM within HIV prevention clinics was beneficial in increasing abstinence rates among the male homeless population while also increasing healthier behaviors. CM also increased the length of retention in the study with a reduction in substance use being maintained at a 9 and 12 month follow up (Reback, et al. 2010).
- CM has been shown to be effective no matter the individual's age, race/ethnicity, sex, income or presenting problem (Petry, 2017).

TYPES OF CONTINGENCIES

Reinforcements- Goal of increasing occurrence of a behavior. Includes both positive and negative reinforcement.

Punishments- Goal of decreasing occurrence of a behavior. Typically involved introduction of aversive stimuli when undesired behavior occurs.

TYPES OF REINFORCEMENT

Positive

Presence of a stimuli after behavior occurs.

Negative

Removal of a stimuli after behavior occurs.

7 PRINCIPLES OF CONTINGENCY MANAGEMENT

1 Target behavior

2 Target population

3 Type of incentive

4 Amount of incentive

5 Frequency of incentive

6 Timing of incentive

7 Duration of incentive

1. TARGET BEHAVIOR

**Objective, Observable,
and Measurable**

Self-report is not acceptable because it is not objective, observable, or measurable.


Clearly Contracted

Both individuals and staff are clear about what is required to receive an incentive.

Closely Tracked

Important to monitor incentives to assure high fidelity and positive outcomes.

EXAMPLE TARGET BEHAVIORS

- Group attendance
 - Completion of treatment plan goals
 - Following up with needed medical care
 - Negative urine screens
- 
- Must provide immediate & rapid results to be effective. Consider how long a substance remains in the system.
 - Contested positive results should be set to lab for confirmation.

ESTABLISH AN AGREED UPON CONTRACT

- Allows both the clinician and the individual to establish and identify expectations in a clear manner prior to the start of the intervention.
- Contracting with each person interested in participating on or prior to the first session is important and should include:
 - The way in which the program will be laid out and what the expectations will be.
 - The way in which incentives will accrue or reset.
 - Participation within the program is voluntary.

Slide 15

WLCO What kind of timeframe is recommended?

Wohlford-Lotas, Cynthia, 2024-02-14T15:30:11.919

DLO 0 Recommended time frame is 12 weeks for the program. Timeframe for establishing a contract would be during the first session

Deana Leber-George, 2024-03-06T16:18:51.964

SUCCESSIVE APPROXIMATIONS & SHAPING

- Successive approximations are the small steps that a person takes that are in alignment with their goal, but not yet achieving the target behavior.
- Shaping is the use of a reinforcement to reward successive approximations towards the target behavior.
- Can be used when an individual is unable or unwilling to engage in the initially identified target behavior. Allowing for individualized treatment goals.
- This is implemented by either increasing the reinforcement or lowering the requirements for earning the reinforcement.

Slide 16

WLCO How does this point tie into the idea that participation is voluntary?

Wohlford-Lotas, Cynthia, 2024-02-14T15:33:28.776

DLO 0 CM can be utilized inside of harm reduction approach when a person is unable or uninterested in abstinence but is willing to engage in an individualized target behavior (e.g. attending IOP...)

Deana Leber-George, 2024-03-06T16:24:00.573

SHAPING & SUCCESSIVE APPROXIMATIONS EXAMPLES

Smoking Cessation (Lamb et al., 2004)

- Participants provided a breath sample using a carbon monoxide (CO) monitor.
- The first 10 appointments were to identify each participant's baseline.
- Appointments 11-70, participants provided a breath sample and received an incentive if the CO level was at or below prior levels.
- The study yielded high levels of program retention.
- Additionally, a high rate of participants provided at least one CO level indicative of abstinence.

Slide 17

WLCO What kind of incentives did they use?
Wohlford-Lotas, Cynthia, 2024-02-14T15:35:01.036

DLO 0 They used - cash. Added to the notes to include in discussion of slide.
Deana Leber-George, 2024-03-06T16:31:01.042

SHAPING & SUCCESSIVE APPROXIMATIONS EXAMPLES

Cocaine Use Disorder & Methadone Maintenance (Preston et al., 2001)

- During the first three weeks, the shaping group earned incentives for UDS showing abstinence or at least a 25% reduction in cocaine metabolite levels, while the standard CM group only received rewards for abstinence.
- In this period, 96% of the shaping group and 53% of the standard CM group earned vouchers. MRO
- The last 5 weeks, both groups were rewarded only for UDS indicating abstinence.
- The shaping group consistently provided more negative UDS samples than the standard CM group.

Slide 18

MRO Just briefly remind them verbally what shaping is vs. the other technique

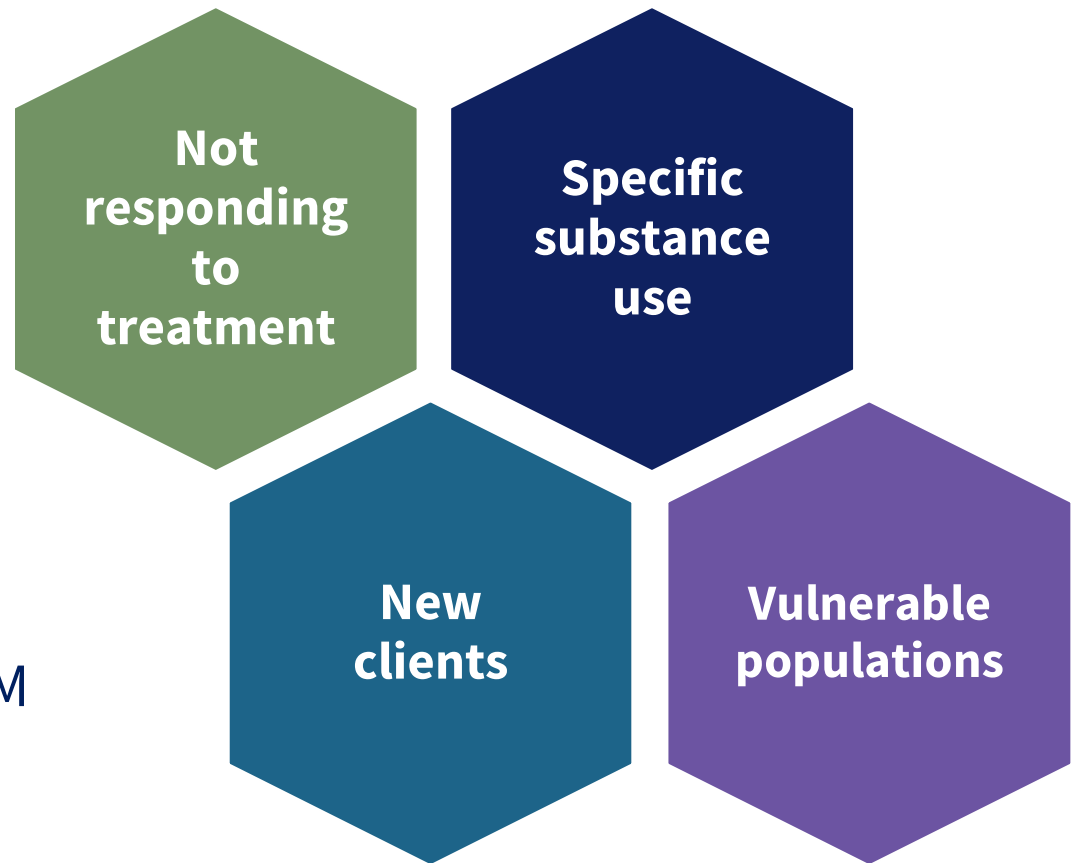
Massatti, Richard, 2024-02-27T20:02:58.286

DLO 0 Will do. Added to Notes

Deana Leber-George, 2024-03-06T16:27:42.703

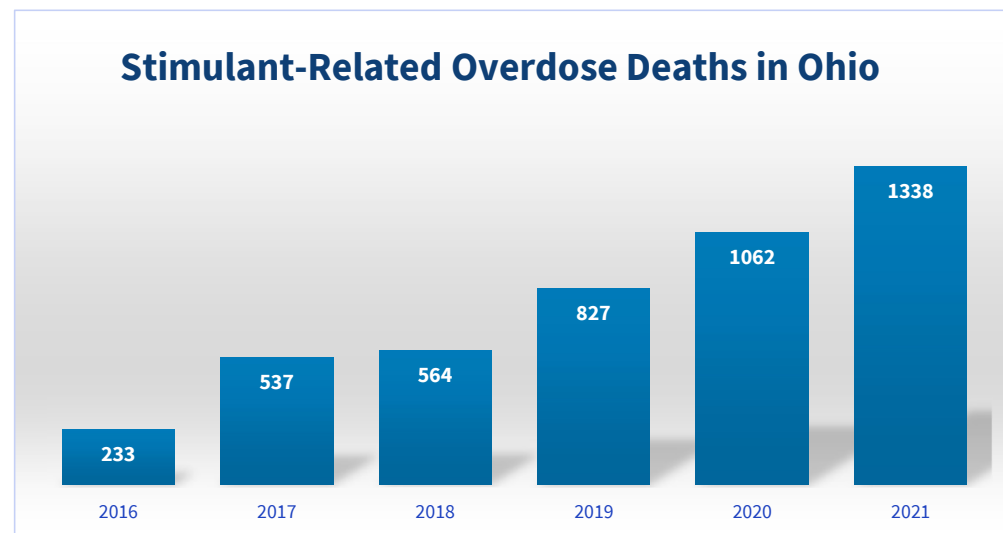
2. IDENTIFY THE TARGET POPULATION

- It's not always logistically or economically possible or necessary to provide reinforcement for all individuals.
- It is important to clearly identify who qualifies for a CM program.



STIMULANT USE AND CM

- Escalated methamphetamine use and increased treatment admission numbers calls for high-quality evidence-based treatments such as CM.
- Attention to this population is key in reducing the overwhelming mortality rate directly impacting individuals, families and communities.
- Research suggests that there is increased efficacy for stimulant use disorder when CM is combined with other treatment interventions (U.S. Department of Health and Human Services, 2023).
- Research found that individuals using methamphetamines were less likely to use when given the opportunity to choose between the substance and the monetary reinforcement (Roll, 2007).



(Ohio Department of Health, 2022)

Slide 20

WLCO Is this the best / most up to date graph available?

Also suggest smaller font on this slide and throughout deck.

Wohlford-Lotas, Cynthia, 2024-02-14T15:49:16.883

MRO 0 I think the font is ok with this one, but please use the most recent data here:

<https://odh.ohio.gov/know-our-programs/violence-injury-prevention-program/media/2022-ohio-drug-overdose>

Massatti, Richard, 2024-02-27T20:51:52.719

DL0 1 Resource will reviewed and updated as able

Deana Leber-George, 2024-03-06T16:30:23.094

RESEARCH LIMITATIONS

- Contingency Management has been found to improve retention and abstinence among those involved with the legal system and those who are unhoused. However, it is not recommended for those in which more frequent urine screens could lead to punitive effects or loss of housing (Petry et al., 2014).
- CM might exhibit reduced effectiveness when used for individuals with severe mental illness in comparison to those without (Foster et al., 2019).
 - Nevertheless, CM has been shown to yield improved retention and higher rates of abstinence, among individuals with severe mental illness in comparison to standard care (Foster et al., 2019).
- Although this field of study is expanding, there is a noticeable gap in research concerning the application of CM to adolescents (HHS, 2023).

Slide 21

WLC0 How is substandard defined and what is the relevance?

Wohlford-Lotas, Cynthia, 2024-02-14T15:50:55.533

DLO 0 It's to acknowledge the limitations that have been assessed and the research that still need to be done to help have clearer information about effectiveness with these special populations.

Deana Leber-George, 2024-03-04T17:33:09.161

3. TYPE OF INCENTIVE

No matter what type of incentive is chosen, it needs to be desirable to the target population.

Access to clinic privileges

No added cost

Methadone take-home privileges

Shift goods and services received to distribute on a contingent basis.

On-site prize distribution

Able to immediately select their prize

Gift cards, bus passes, clothes, food

Vouchers & token economy systems

Receive points for engaging in specific behaviors

Build up a “bank account” to cash in for goods and services

Slide 22

- WLCO** Is this the best example from the literature? I think a more mainstream example might be helpful, especially for those not familiar with MAT. OTP regs have also been recently updated by SAMHSA.
Wohlford-Lotas, Cynthia, 2024-02-14T16:44:57.074
- MRO 0** The second bullet in the first box may or may not apply since clinic policies may be more restrictive than federal law.
- Make sure to emphasize that the ideal is to have the patient pick their own incentive (if possible) - tailoring to patient
Massatti, Richard, 2024-02-27T20:54:20.813
- DL0 1** From the notes: No matter what type of incentive is chosen, it needs to be desirable to the target population!
Deana Leber-George, 2024-02-29T18:46:59.823
- DL0 2** These represent the examples of what is currently being done - we felt this approach does actually offer the most mainstream and known information .
Deana Leber-George, 2024-03-06T16:38:09.728

4. AMOUNT OF INCENTIVE



The magnitude of reinforcement needed to sustain change may differ for different behavior targets.

There is a point when the incentive's value is too low and will not be effective.

Once initially established, incentives should gradually increase over the course of the program.

5. FREQUENCY OF INCENTIVE



Decisions about reinforcement frequency are connected to such factors as the target behavior, the resources available, and the amount of clinical contact desired.

Contingency Management works best when rewards are delivered consistently and frequently.

If too infrequent, the rewards are unable to counteract the immediate reinforcing effects of substance use.

6. TIMING OF INCENTIVE



Reinforcement needs to follow the display of the target behavior as closely as possible to avoid the value of the reinforcement diminishing.



The more rapid the incentives are distributed, whether material or symbolic, the more effective they will be.

7. DURATION OF INCENTIVE

The standard duration of CM is 12 weeks.

CM programs with durations shorter than 12 weeks have not demonstrated a sustained impact on continued abstinence.

If resources permit, providers have flexibility to extend the duration of incentives.

Early research indicates that longer durations may be particularly beneficial for populations with high-risk for relapse, comorbidities, and those facing significant barriers to treatment and recovery.

RESPONDING TO SETBACKS

- Returning to old, longstanding behaviors is normal and expected when treating chronic health conditions.
- Punishments are not used in contingency management, although there is an emphasis on accountability.
- With a positive drug screen, no reward is earned for that visit.
- Reset:
 - If monetary incentives are being provided, participants temporally “reset” to the lowest award level.
 - Participants will have the chance to recover the incentive by providing a pre-determined number of negative drug screens.

Slide 27

WLC0 Will you be speaking to the challenges related to monetary rewards and rules pertaining to such (re: maximum amounts allowed, concerns related to fraud, etc.)?

Wohlford-Lotas, Cynthia, 2024-02-14T16:59:15.823

DL0 0 I will make sure they are

Deana Leber-George, 2024-03-04T17:34:26.021

DL0 1 Yes, it is an already included part of the discussion here.

Deana Leber-George, 2024-03-06T16:39:24.439

EXCUSED AND UNEXCUSED ABSENCES

- **Excused absences:**
 - Except for a medical emergency, absences must be communicated to program staff before the scheduled appointment.
 - Providers should show flexibility if a CM participant has been in communication.
 - Providers also need to determine the number of consecutive excused absences permitted before incentives are reset.
- **Unexcused absences:**
 - If program is providing escalating incentives, participant's incentive level is "reset".
- **Contracted expectations:**
 - How a program responds to absences should be clearly outlined in the CM contract.
- **Fidelity to the model:**
 - Keeping things consistent
 - Staying true to what evidence has shown to be effective.

Slide 28

- WLCO** Spacing and font size adjusted.
Wohlford-Lotas, Cynthia, 2024-02-14T16:59:37.118
- MRO 0** Perhaps put things in columns or split across slides?
Massatti, Richard, 2024-02-27T20:56:37.620
- DLO 1** Thank you Cindy.
The two column format made the slide too crowded for sure.
Deana Leber-George, 2024-02-29T18:48:31.214

ORGANIZATIONAL IMPLEMENTATION CONSIDERATIONS

- Contingency Management Champions
 - Agencies should appoint at least individual to serve in this coordinating role, though a team of 3 provides the most stability.
- Training & Coaching
 - Program staff should receive training on CM before implementation begins.
 - Coaching should be provided on an ongoing basis.

Slide 29

WLCO Consider splitting up information. There's a lot in your notes to discuss.
Wohlford-Lotas, Cynthia, 2024-02-14T17:04:32.318

INTEGRATION & IMPLEMENTATION

To implement a CM program, these characteristics must be present.



Achievable behavior that is clearly defined.



Target population is specified.



Incentives are enticing and tangible.



Incentives are only provided upon completion of desired behavior.



Incentives are provided consistently.

Slide 30

WLCO How about a quiz for this piece?
Wohlford-Lotas, Cynthia, 2024-02-14T17:06:03.236

DLO 0 Great idea!
Deana Leber-George, 2024-02-29T18:48:48.225

BARRIERS FOR IMPLEMENTATION

Reduced alignment
with providers typical
theoretical orientation

Financial restraints

Lack of time
to
implement
a novel
program

Stigma
surrounding
intervention

Stigma of
population
served

Maintaining
fidelity to
the model

Contingency Management Program Examples

The state of California and the VA respond to the increase in mortality rates related to stimulant use.



- The state of California developed and implemented their CM programming for individuals with Stimulant Use Disorder and beneficiaries of California's Medicaid.
 - First state to cover CM as a Medicaid benefit.
 - 24 of California's 58 counties are enrolled as participants in this program, with 66 treatment organizations having begun implementation.

WLC2



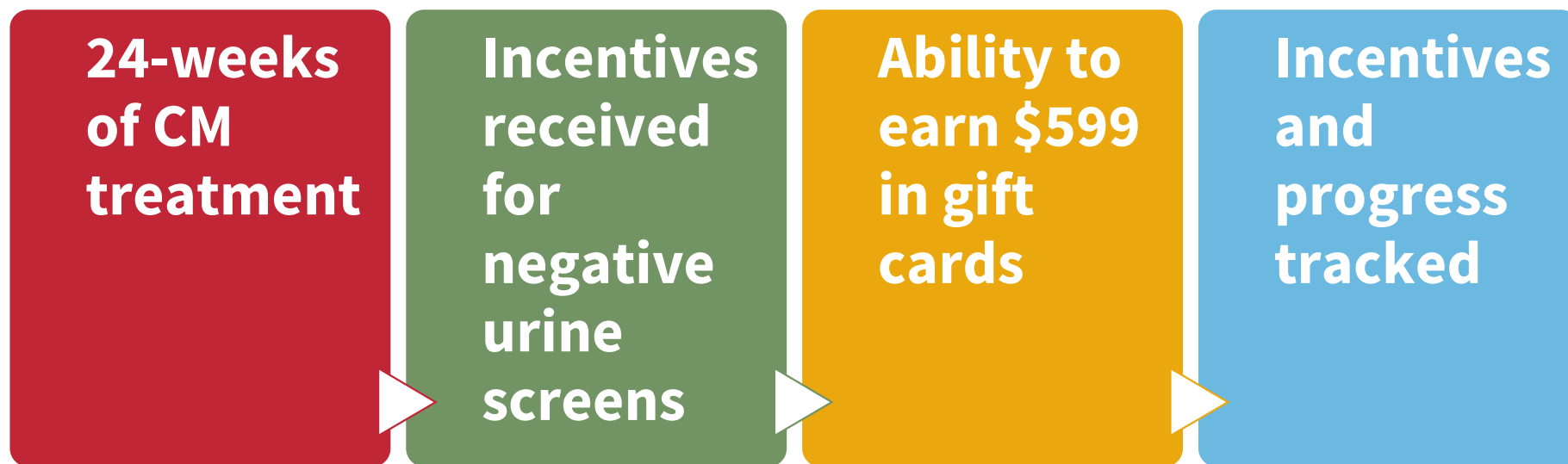
- In 2011 the Veteran's Administration (VA) identified the need for greater implementation of CM and called for CM to be implemented in all VA intensive outpatient programs (IOPs) with a focus on those using stimulants.
 - In 2010, less than 1% of veterans received CM services.
 - Goal was to implement CM to address SUDs without medication assisted treatment available, specifically stimulant use disorders.

WLC0

Slide 32

- WLC0** I think these points also need flipped--because of the 2010 issues, in 2011 the VA decided to implement changes--is that right? Did this approach reduce mortality rates? What was the outcome?
Wohlford-Lotas, Cynthia, 2024-02-14T17:10:09.353
- DL0 0** This is reviewed more in the next several slides.
Deana Leber-George, 2024-03-06T16:47:54.471
- WLC1** When did this happen in California? What did they find from the implementation?
Wohlford-Lotas, Cynthia, 2024-02-14T17:11:22.283
- DL1 0** This is brand new - just launched within the last year and is spoken of later on.
Deana Leber-George, 2024-03-06T16:50:52.228
- WLC2** Are there any Ohio examples that can be added here? This might include what the state has / has not done. Are we seeing programs implement? What type and how many? And with what populations?
Wohlford-Lotas, Cynthia, 2024-02-14T17:12:15.779

RECOVERY INCENTIVES PROGRAM: CALIFORNIA'S CONTINGENCY MANAGEMENT BENEFIT



Slide 33

WLCO This and the next few slide should be flipped--the VA information was listed first.

Wohlford-Lotas, Cynthia, 2024-02-14T17:20:14.777

DLO 0 Adjusted slide 32 to reflect this flow

Deana Leber-George, 2024-03-06T16:53:47.273

RECOVERY INCENTIVES PROGRAM: **CALIFORNIA'S CONTINGENCY MANAGEMENT BENEFIT**

Weeks 1-12

- Focus on escalation/ reset/ recovery.
- UDT collected twice per week.
- Incentive is
 - \$10 for each negative UDT
 - Increase of \$1.50 for each remaining week

Weeks 13-24

- Focus on stabilization.
- UDT collected once weekly.
- Negative samples gain
 - \$15 gift card in weeks 13-18
 - \$10 gift card for weeks 19-23

THE VETERANS AFFAIRS (VA) CONTINGENCY MANAGEMENT PROGRAM

February 2011,
VA called for
implementation
of CM.

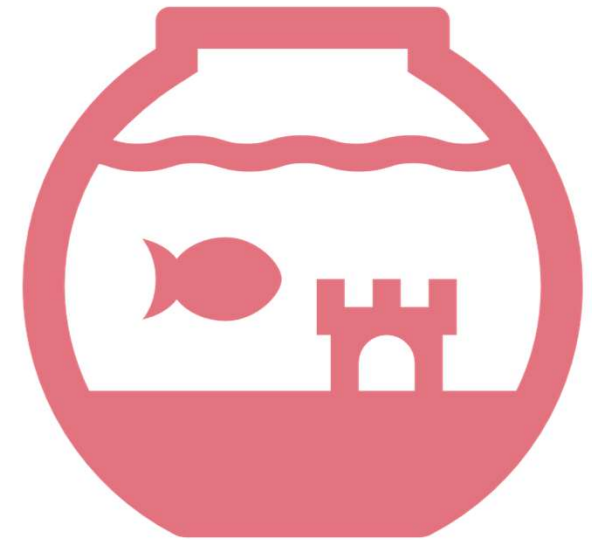
Held ½ day
trainings with
focus on
outcomes data
and skill
practice

Individual VA
programs
allowed to
customize CM
to fit their
population's
needs

Most programs
making use of
on-site
“Canteens” for
prizes

THE VETERANS AFFAIRS (VA) CONTINGENCY MANAGEMENT PROGRAM (FISHBOWL METHOD)

- Testing for stimulants twice a week.
- Participants earn one draw for the first negative drug screen.
- If the screen is positive, a refusal is made or an unexcused absence occurs on testing day, the number of draws resets.
- Fishbowl contains slips of paper reading: “Good Job!”, \$1, \$20 & \$100. Most VA programs provide gift certificates to their onsite Canteens.



FISH FOOD FOR THOUGHT

How might you see this working for your programs?



Slide 37

WLC0 What populations are served?
Wohlford-Lotas, Cynthia, 2024-02-14T17:25:04.350

DLO 0 Added to notes
Deana Leber-George, 2024-03-06T16:54:41.048

SUMMARY

WLC2

- Contingency Management is rooted in operant conditioning principles.
- The use of contingency management has been found to assist in increasing treatment retention and positive outcomes.
- Incentives must be tangible and consistent.
- Goals for individuals need to be achievable.
- Barriers for implementation exist but are adaptable for different needs.

Slide 38

- WLC0** Particularly with StUD?
Wohlford-Lotas, Cynthia, 2024-02-14T17:25:35.313
- DL0 0** We speak to this as to the reasoning for VA and CA. We will continue to develop this notion.
Deana Leber-George, 2024-03-06T16:59:56.263
- WLC1** First bullet suggest: Contingency management consists of different types of conditioning.
Wohlford-Lotas, Cynthia, 2024-02-14T17:26:28.932
- WLC2** What about fidelity?
Wohlford-Lotas, Cynthia, 2024-02-14T17:27:06.236
- MR2 0** Excellent question. Are there any fidelity tools available?
Massatti, Richard, 2024-02-27T22:09:49.839
- SH2 1** No fidelity tools available. Added this to slide 31 as a barrier with notes on recommendations for monitoring for fidelity given the lack of formal tool.
Sarah Hill, 2024-03-08T15:29:54.805

QUESTIONS?

MHA.OHIO.GOV

YOUR FEEDBACK IS IMPORTANT TO US!

QR Code and link

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Slide 43

WLCO What is the comment section for? Will this slide be reviewed with the audience?

Wohlford-Lotas, Cynthia, 2024-02-14T17:28:03.504

SHO 0 Thanks for catching this. Note deleted, was left over from copying and pasting while putting reference slides together.

Sarah Hill, 2024-03-08T16:06:06.953

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